

10873.784USWO

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

Unknown

09/936601

INTERNATIONAL APPLICATION NO.

PCT/JP00/01489

INTERNATIONAL FILING DATE

March 10, 2000

PRIORITY DATE CLAIMED

March 15, 1999

TITLE OF INVENTION

INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING

APPLICANT(S) FOR DO/EO/US

YAMADA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Communication re: Figure Publication; Front page of PCT appln as published

U.S. APPLICATION NO (If known, see 37 CFR 1.5) Unknown <b>09/936601</b>		INTERNATIONAL APPLICATION NO PCT/JP00/01489		ATTORNEY DOCKET NUMBER 10875784USWO	
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17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b> Search Report has been prepared by the EPO or JPO.....\$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1)).....\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(3)) paid to USPTO ..... \$1000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00				<b>CALCULATIONS PTO USE ONLY</b>	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> \$860.00					
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	27 -20 = 7		X \$18.00	\$126.00	
Independent claims	2 -3 = 0		X \$80.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$986.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0	
<b>SUBTOTAL =</b>				\$986.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0	
<b>TOTAL NATIONAL FEE =</b>				\$986.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0	
<b>TOTAL FEES ENCLOSED =</b>				\$986.00	
				Amount to be: refunded	\$0
				charged	\$0

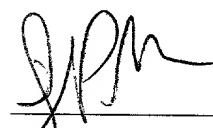
a. ☐ Check(s) in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 13-2725 in the amount of \$ 986.00 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 13-2725.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO  
 Douglas P. Mueller  
 MERCHANT & GOULD  
 P.O. Box 2903  
 Minneapolis, MN 55402-0903

SIGNATURE: 

NAME: Douglas P. Mueller

REGISTRATION NUMBER: 30,300



S/N 09/936,601

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	YAMADA et al.	Examiner:	Unknown
Serial No.:	09/936,601	Group Art Unit:	Unknown
Filed:	September 14, 2001	Docket No.:	10873.784USWO
Title:	INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING THE SAME		

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 037641325 US

Date of Deposit: December 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service/Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By:

  
Chris Stordahl

PRELIMINARY AMENDMENT

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendment (marked-up copy attached):

IN THE SPECIFICATION

**Please replace the paragraph beginning on page 8, line 25, with the following:**

FIG. 1 is a schematic view to show a structure (atom position at a time of crystallization) of a representative recording film used for an information recording medium of the present invention, in which the crystalline phase is a single phase. In this example, the crystalline phase is constituted with a single compound phase (moreover, it is a rock-salt type structure). In the lattice site position forming the rock-salt type structure, all 4a sites are occupied by Te atoms 1, while 4b sites are occupied by Ge atoms 2, Sb atoms 3, and occupied randomly by also lattice

defects 4. In the present invention, atoms other than the atoms occupying the 4b sites are filled in the lattice defects.

**Please replace the paragraph beginning on page 10, line 7, with the following:**

FIG. 4 is a cross sectional view to show an example (layer constitution) of an optical information recording medium according to the present invention. A typical information recording medium is constituted by forming a recording layer 8 having the above-mentioned constitution on a substrate 7 selected from transparent polycarbonate resin, an acrylic resin, a polyolefin-based resin, a glass sheet or the like. Protective layers 9 and 10 can be formed on at least one surface of the recording layer. Reflective layers 11 can be formed on the respective protective layers. Overcoats 12 can be formed on the top layers, or the overcoats can be replaced by protective plates 14 that are adhered by adhesive layers 13. For guiding laser beams used in recording/reproducing, a spiral or concentric circular concave-convex groove track, a pit array, a track address can be formed on the substrate surface. Such a recording medium is irradiated with a laser beam in order to cause reversible phase change in the recording layer between a crystalline phase and an amorphous phase, so that information can be rewritten. In the case of crystallization, the recording medium is irradiated with a laser beam like a pulse in order to keep the irradiated part at or above an interim crystallization change temperature. In changing the recording layer to be amorphous, the layer is irradiated with a more intensive laser beam for a period equal to or shorter when compared to a case of crystallization, so that the irradiated part is melted instantaneously and then quenched. This reversible phase change can be detected as a change in the reflectance or transmittance. This reproduction is carried out by irradiating the recording medium with a laser beam weakened not to provide any additional influence so as to detect changes in the strength of light reflected from the irradiated portion or transmitted.

**Please replace the paragraph beginning on page 10, line 33, with the following:**

An optical information recording medium according to the present invention, as shown in FIGs. 4A-4J, will be characterized by a composition of a material composing the recording layer 8 and by the internal structure. A representative example will be explained below with reference to a Ge-Sb-Te based material. As reported in N. Yamada et al., J. Appl. Phy.69(5), 2849 (1991), a Ge-Sb-Te material is crystallized to have a face-centered cubic structure meta-stably by irradiating a laser beam. In addition to that, a recent research presentation by the same author (MRS-Buttutin, 21(9), 48(1996) and a research presentation by Nonaka et al. (papers for the tenth symposium on phase change recording, p.63) suggest that the metastable phase necessarily contains many lattice defects (vacancy). The following description is about a representative composition of a stoichiometric compound composition of  $\text{Ge}_2\text{Sb}_2\text{Te}_5$ . The material has a metastable phase of rock-salt type (NaCl type). As shown in FIG. 1, all lattice positions (4a sites) corresponding to Cl atoms are occupied by Te atoms 1, and all lattice site positions (4b sites) corresponding to Na atoms are occupied by Ge atoms 2 and Sb atoms 3 at random depending on the composition ratio. However, since the total number of the Ge atoms and the Sb atoms is greater than the number of the Te atoms, the 4b sites necessarily has lattice defects 4 of about 20% (about 10% of the entire sites). The lattice defects also are located at random (An example of atom positions in 4a sites is shown).

**Please replace the paragraph beginning on page 13, line 32, with the following:**

As a result of various analyses and experiments, the inventors have found that not all elements can fill lattice defects and that an ionic radius is an important factor to determine the conditions. When the 4a sites have lattice defects, the defected lattices of the base materials will be filled easily if  $R_{im}$  is sufficiently close to  $R_{nc}$ , where  $R_{nc}$  denotes an ionic radius of an

element having a minimum ionic radius among elements occupying the 4a sites and Rim denotes an ionic radius of an additional element. According to Third Revision of Manual of Basic Chemistry (Kagaku-binran Kiso-hen) II issued by Maruzen Co., Ltd., the radius of a  $\text{Ge}^{4+}$  ion is 0.67nm, the radius of a  $\text{Sb}^{5+}$  ion is 0.74nm, and the radius of a  $\text{Te}^{2-}$  ion is 2.07nm when the coordination number is 6. For Ge-Sb-Te, an element can enter a lattice easily when it has an ionic radius substantially the same or slightly smaller than the radius of a Ge ion located at a 4b site. Each Ge ion has a smaller ionic radius than that of a Sb ion.

**Please replace the paragraph beginning on page 16, line 1, with the following:**

Atoms in a rock-salt structure are considered to have a coordination number of 6. Table 1 is a list of ion species each having a coordination number of 6 and ionic radius of about 0.67nm in an order of the ionic radius. Since a  $\text{Ge}^{4+}$  ion has ionic radius of 0.67nm, ions ranging from a vanadium ion  $\text{V}^{5+}$  that is about 70% of a  $\text{Ge}^{4+}$  ion to a  $\text{Ni}^{3+}$  ion that is about 105% may enter a lattice. That is, effective elements are V, S, Si, P, Be, As, Se, Ge, Mn, Re, Al, Co, Te, Cr, and Ni. Among them, V, S, Si, Mn, Al, Co, Cr, and Ni etc. are suitable. The remaining elements are not suitable, since, for example, Be, As and P may cause problems due to the toxicity, while Ge and Te compose the base material, and Re is a radioactive element.

**Please replace the paragraph beginning on page 21, line 28, with the following:**

Interface layers 16 and 17 can be formed in an interface between the recording layer and at least one of the protective layers for several purposes, such as preventing atomic diffusion in spacing between the recording layer and the protective layer. Especially, nitrides, nitride-oxides and carbides are suitable for the interface layer. The examples include materials of Ge-N-(O), Al-N-(O), Si-C-N, Si-C or the like, and materials further including Cr, Al or the like, such as Ge-

C-N and Si-Al. Optical absorption Aa of a recording layer in an amorphous state can be decreased relatively with respect to optical absorption Ac of the recording layer in a crystalline state by applying an optical absorption layer 18 over an upper protective layer of the recording layer, or by applying a semitransparent reflecting layer 19 at the light incident side of the recording layer.

**Please replace the paragraph beginning on page 25, line 1, with the following:**

The increase of the crystallization temperature becomes sharp when the Al concentration is at a level of the sample A5. For this composition, Ddf (concentration of lattice defects) occupies 10% of the whole sites (20% of the 4b sites). For the respective samples, ratios that Al atoms fill lattice defects to Ddf are as follows: A1:0, A2:0.2 × Ddf, A3:0.5 × Ddf, A4:1.0 × Ddf, A5:1.5 × Ddf, A6:2.0 × Ddf, A7:2.5 × Ddf, and A8:3.0 × Ddf. For the samples A5-A8, there are more Al atoms than the lattice defects to be filled. Percentage of the Al atoms to the whole compositions in the respective samples are as follows. A1: 0%, A2: 2.2%, A3: 5.3%, A4: 10%, A5: 14.3%, A6: 18.2%, A7: 21.7%, and A8: 25%.

**Please replace the paragraph beginning on page 29, line 27, with the following:**

Various additives other than Al were added to  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  recording films for the purpose of examining the recording performance of the films. Additives were selected from elements having ion radii similar to an ionic radius of Al, i.e., V, S, Si, P, Se, Ge, Mn, Re, Co, Te, Cr, Ni; elements having melting points similar to that of Al, i.e., Sb, Pu, Mg, Ba; and elements of a separate group, i.e., Ag, Pb, and Sn. Each additive of about 5 atom% was added for examining the effects.

**Please replace the paragraph beginning on page 32, line 24, with the following:**

In accordance with Examples 12 and 13, 1000 kinds of optical disks were manufactured in which the composition is represented by  $[(\text{Ge} + \text{Sn})_4\text{Sb}_2\text{Te}_7]_{(100y-z)}\text{Cr}_y\text{Ag}_z$ . In the composition, x indicates a percentage of Sn in the entire composition and y and z indicate atom%. The values of x, y and z were varied in the following range:

x = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%

y = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%

z = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%.

The thickness of the respective layers and evaluation criteria are identical to those of Examples 12 and 13. It was confirmed that equivalent or better performance was obtainable for all the three criteria when the Sn concentration was in a range from 3% to 15%, the Cr concentration was in a range from 1% to 5%, and the Ag concentration was in a range from 1% to 10%. It was effective especially in improving signal amplitude, stability of rewiring sensitivity and repeatability when the Sn concentration was in a range from 5% to 10%, the Cr concentration was in a range from 1% to 3%, and the Ag concentration was in a range from 1% to 3%.

#### IN THE CLAIMS

**Please amend the following claim:**

10. (Amended) The information recording medium according to claim 9, wherein the crystal structure comprising the lattice defect further comprises at least one combination of elements selected from Sn-Cr, Sn-Mn, Sn-Ag, Mn-Ag, Cr-Ag, and Sn-Cr-Ag.

REMARKS

The above preliminary amendment is made to make minor editorial corrections to the specification and claim 10.

Applicants respectfully request that the preliminary amendment described herein be entered into the record prior to examination and consideration of the above-identified application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Douglas P. Mueller (Reg. No. 30,300), at (612) 371.5237.

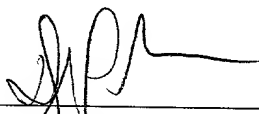
Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: December 13, 2001

DPM/tvm

By



Douglas P. Mueller  
Reg. No. 30,300



## MARKED-UP COPY

### IN THE SPECIFICATION

**Please amend the following paragraph beginning on page 8, line 25:**

FIG. 1 is a schematic view to show a structure (atom position at a time of crystallization) of a representative recording film used for an information recording medium of the present invention, in which the crystalline phase is a single phase. In this example, the crystalline phase is constituted with a single compound phase (moreover, it is a rock-salt type structure). In the lattice site position forming the rock-salt type structure, all 4a sites are occupied by Te atoms 1, while 4b sites are occupied by Ge atoms 2, Sb atoms 3, and occupied randomly by also lattice defects 4. In the present invention, atoms other than the atoms occupying the 4b sites are filled in the lattice defects.

**Please amend the following paragraph beginning on page 10, line 7:**

FIG. [2] 4 is a cross sectional view to show an example (layer constitution) of an optical information recording medium according to the present invention. A typical information recording medium is constituted by forming a recording layer 8 having the above-mentioned constitution on a substrate 7 selected from transparent polycarbonate resin, an acrylic resin, a polyolefin-based resin, a glass sheet or the like. Protective layers 9 and 10 can be formed on at least one surface of the recording layer. Reflective layers 11 can be formed on the respective protective layers. Overcoats 12 can be formed on the top layers, or the overcoats can be replaced by protective plates 14 that are adhered by adhesive layers 13. For guiding laser beams used in recording/reproducing, a spiral or concentric circular concave-convex groove track, a pit array, a track address can be formed on the substrate surface. Such a recording medium is irradiated with

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positions (4a sites) corresponding to Cl atoms are occupied by Te atoms 1, and all lattice site positions (4b sites) corresponding to Na atoms are occupied by Ge atoms 2 and Sb atoms 3 at random depending on the composition ratio. However, since the total number of the Ge atoms and the Sb atoms is greater than the number of the Te atoms, the [4a] 4b sites necessarily has lattice defects 4 of about 20% (about 10% of the entire sites). The lattice defects also are located at random (An example of atom positions in 4a sites is shown).

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**Please amend the following paragraph beginning on page 32, line 24:**

In accordance with Examples 12 and 13, 1000 kinds of optical disks were manufactured in which the composition is represented by  $[(\text{Ge} + \text{Sn})_4\text{Sb}_2\text{Te}_7]_{(100-y-z)}\text{Cr}_y\text{Ag}_z$ . In the composition, x indicates a percentage of Sn in the entire composition and y [indicates] and z indicate atom%. The values of x, y and z were varied in the following range:

$$x = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20\%$$

y = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%

z = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%.

The thickness of the respective layers and evaluation criteria are identical to those of Examples 12 and 13. It was confirmed that equivalent or better performance was obtainable for all the three criteria when the Sn concentration was in a range from 3% to 15%, the Cr concentration was in a range from 1% to 5%, and the Ag concentration was in a range from 1% to 10%. It was effective especially in improving signal amplitude, stability of rewiring sensitivity and repeatability when the Sn concentration was in a range from 5% to 10%, the Cr concentration was in a range from 1% to 3%, and the Ag concentration was in a range from 1% to 3%.

#### IN THE CLAIMS

**Please amend the following claim:**

10. (Amended) The information recording medium according to claim 9, wherein the crystal structure comprising the lattice defect further comprises at least one combination of elements selected from Sn-Cr, Sn-Mn, Sn-Ag, Mn-Ag, Cr-Ag, [Sn-Mn,] and Sn-Cr-Ag.

09/936601

JC16 Rec'd PCT/PTO SEP 14 2001

## DESCRIPTION

INFORMATION RECORDING MEDIUM AND  
METHOD FOR MANUFACTURING THE SAME

5

Technical Field

The present invention relates to an information recording medium that can record, reproduce, erase and rewrite high-density information by means of irradiation of laser beams and application of a high electric field.

10 The present invention relates to also a method for manufacturing the information recording medium.

Background Art

It is well known to apply as a memory a change in optical characteristics caused by reversible phase change of a substance, and a technique using this has come into practice as phase change optical disks such as DVD-RAM. Specifically, recording, reproducing and rewriting of signals will be available by rotating a disk medium comprising a substrate on which a recording thin film for generating reversible phase change is provided, and by irradiating the disk medium with a laser beam drawn to a sub-micron size.

20 In the case of a phase change optical disk, overwriting by means of a single laser beam is carried out. That is, irradiation is performed by modulating the laser power between a high level and a low level depending on the information signal, so that an amorphous phase is generated at a region irradiated with a high power laser beam while a crystalline phase is

25 generated at a region irradiated with a low power laser beam. As a result, a signal array comprising the amorphous portion and crystal portion alternately is recorded on the disk. Since the amorphous portion and the crystal portion are different in the light transmittance and reflectance, the change in the state can be read as a change in the amount of the light transmittance or

30 reflectance by continuously irradiating a laser beam on this signal array, in which the laser beam is attenuated not to change the recording film.

Such a phase change optical disk has some characteristics such as:

- (1) it enables the performance of overwriting, i.e., recording a new signal while erasing an old signal by using only one laser beam; and
- 35 (2) it can record and reproduce a signal by using a change in the reflectance, based on a principle similar to that of a ROM medium. These characteristics lead to several merits such as simplifying a system construction and providing devices for general purposes, so that such phase change optical disks are expected to be applied widely.

Recording materials used for recording layers of phase change optical disks generally include chalcogenide semiconductor thin films based on chalcogen elements such as Te, Se and S. A method used in the early 1970s is crosslinking a Te network structure for stabilizing an amorphous state by adding materials such as Ge, Si, As and Sb to a main component of Te. However, these materials would cause a problem. That is, when the crystallization temperature is raised, the crystallization speed is lowered remarkably, and this would make rewriting difficult. Alternatively, when the crystallization speed is increased, the crystallization temperature is lowered sharply, and thus, the amorphous state will be unstable at a room temperature. A technique suggested for solving the problems in the latter half of the 1980s is the application of a stoichiometric compound composition. The thus developed compositions include Ge-Sb-Te based materials, In-Sb-Te based materials, and GeTe based materials. Among them, Ge-Sb-Te based materials have been studied most since the materials allow phase change at high speed, substantially no holes will be formed even after repeated phase changes, and substantially no phase separation or segregation will occur (N. Yamada et al, Jpn. J. Appl. Phys.26, Suppl. 26-4, 61 (1987)). An example of material compositions other than such stoichiometric compositions is an Ag-In-Sb-Te based material. Though this material is reported to be excellent in the erasing performance, it has been found that the characteristics deteriorate due to the phase separation as a result of repeated overwriting.

Similarly, characteristic deterioration caused by repetition may be observed even if a stoichiometric composition is used. An example of the deterioration mechanism is a phenomenon of micro-scaled mass transfer caused by repetition of overwriting. More specifically, overwriting causes a phenomenon that substances composing a recording film flow little by little in a certain direction. As a result, the film thickness will be uneven at some parts after a big repetition. Techniques to suppress the phenomenon include the addition of additives to recording layers. An example of such techniques is addition of a N<sub>2</sub> gas at a time of film formation (JP-A-4-10979). A document clarifies a mechanism that a nitride having a high melting point is deposited like a network in a grain boundary composing the recording film, and this suppresses the flow (R. Kojima et al. Jpn. J. Appl. Phys. 37 Pt.1, No. 4B. 2098 (1998)).

JP-A-8-127176 suggests a method of including a material having a melting point higher than that of the recording material.

As mentioned later, the cited reference is distinguishable from the present invention in that the material having a high melting point will not be dissolved in the base material but scattered in the base material layer. According to the reference, the scattered material having a high melting point suppresses the mass transfer phenomenon caused by repeated overwriting so as to improve the performance. JP-A-7-214913 suggests, without clarifying the mechanism, the addition of small amounts of Pt, Au, Cu, and Ni in a Ge-Sb-Te film in order to improve stability of the amorphous phase without lowering the repeatability.

However, the repetition number tends to decrease when the recording density is increased. Due to a recent demand for keeping compatibility among media of various generations, recording at higher density should be performed by using optical heads of identical performance (i.e., laser beams of an identical wavelength and object lenses of an identical numerical aperture). The size of a recording mark should be reduced to raise recording density. On the other hand, the strength of reproduced signals is lowered as the recording mark becomes small, and the signals will be influenced easily by a noise. Namely, during a repeated recording, even a slight variation that may have not caused a trouble in a conventional process will lead to errors in reading, and thus, the number of available repetitions of rewriting is decreased substantially. This problem can be noticeable in the a case of so-called land-groove recording, in which a concave-convex-shaped groove track is formed on a substrate and information is recorded on both the groove (a region closer to the light-incident side) and the land portion (spacing between the grooves) in order to guide a laser beam for recording and reproducing. Specifically, since the thermal and optical conditions are different between the land and groove, the repeatability will deteriorate easily, especially in the land region.

Merits provided by a recording layer comprising a compound material have been described above. On the other hand, when the composition of the recording layer is changed from the stoichiometric composition, the recording performance will be changed remarkably. In a desirable recording method, the performance of a recording film should be controlled with further accuracy while keeping the merits of the compound composition, and using an identical recording film or a composition having a wide acceptability with respect to characteristics.

Electrical switching devices comprising a chalcogenide material and

memory devices are known as well as applications of such phase change materials. The electrical phenomenon was first reported in 1968. Specifically, when voltage is applied gradually to a phase change material thin film in an as-depo.-state sandwiched between electrodes, electrical resistance  
5 between the electrodes sharply declines at a certain threshold voltage, and a large current will start to flow (crystallization). For reversing this state to an initial low-resistant state (OFF state), a big and short current pulse will be passed. A portion provided with current is melted first and then, quenched to be amorphous so that the electrical resistance is increased. Since differences  
10 in the electrical resistance can be detected easily by an ordinary electrical means, the material can be used as a rewritable memory. Though material compositions based on Te have been used for electrical memories, any of them require a  $\mu$ s order period of time for crystallization.

#### 15 Disclosure of Invention

To solve the above-mentioned problems, a first purpose of the present invention is to provide a phase change memory material that will increase a number of repetitions of rewriting and enables rewriting at a high speed. The memory device can be constituted with either an optical memory or an  
20 electric memory. The present invention aims to provide a recording medium comprising a recording thin film formed on a substrate. Due to the above-mentioned excellent characteristics of stoichiometric composition, the recording thin film provides less influence on the characteristics regardless of some composition variation. That is, the recording thin film comprises a  
25 composition exhibiting easy controllability of the characteristics. The present invention provides also a method for manufacturing a recording medium comprising such a recording thin film.

For achieving the purposes, an information recording medium according to the present invention comprises a recording material layer  
30 formed on a substrate, and the recording material layer enables the generation of reversible phase change by means of electric energy or electromagnetic wave energy in an electrically or optically detectable state. The information recording medium is characterized in that the recording material layer is composed of either a material having a crystal structure  
35 including lattice defects in one phase of the reversible phase change (material 'A') or a material in a complex phase comprising lattice defects in one phase of the reversible phase change comprising a crystal portion and an amorphous

portion, and both the portions comprise a common element (material 'B'), and that at least one part of the above-mentioned lattice defects is filled with an element other than the elements composing the above-mentioned crystal structure.

5       Next, a method for manufacturing an information recording medium according to the present invention relates to an information recording medium comprising a recording material layer formed on a substrate, and the recording material layer generates reversible phase change by means of electric energy or electromagnetic wave energy in an electrically or optically  
10   detectable state. It is characterized in that the recording layer is constituted with a recording material having a crystal structure in which one phase of the reversible phase change includes lattice defects, and that at least a part of the defects is filled with additional elements.

15       The present invention employs the following material compositions for generating reversible phase change between an amorphous phase and a crystalline phase by irradiating the material layer with a laser beam or energizing the same layer. The material composition forms a single phase during crystallization and the crystal lattice necessarily includes some defects. At least a part of the lattice defects is filled with an element other than the  
20   element composing the base material in order to exhibit a new compound phase that has never been observed. Filling additional elements in the lattice of the base material can change the characteristics of the base material fundamentally.

25       For solving the above-mentioned problems, the present invention employs an amorphous material layer to be crystallized by irradiating a laser beam or by energizing. The material phase forms a complex phase (crystalline phase) comprising a compound phase portion having lattice defects within the crystal and an amorphous phase portion. Here, it is important and preferred that the compound phase portion is filled with  
30   additional elements, and the amorphous phase is a single phase. It is preferable that a molar ratio of the amorphous phase to the crystalline phase in the complex phase is 2.0 at most, and further preferably, the ratio is 1.0 at most.

35       Regardless whether the crystalline phase is a single phase or a complex phase, it is preferable that the compound comprises a base material of rock-salt type structure (NaCl) having a crystal structure with a lattice defect (vacancy). As mentioned above, at least one part of the lattice defects

included in the base material is filled with an atom other than elements composing basic substances of the rock-salt type structure. It is preferable for the element to fill the lattice defects that  $R_{im}$  is closer to  $R_{nc}$ , e.g.,  $0.7 < R_{im} \leq 1.05R_{nc}$ , where  $R_{im}$  denotes an ionic radius of an element to fill the lattice defects, and  $R_{nc}$  denotes an ionic radius of a smallest ion among elements composing the rock-salt type crystal. When  $T_{im}$  denotes a melting point of an element to fill the lattice defects and  $T_{nc}$  denotes a melting point of the rock-salt type crystal, it is preferable that the  $T_{im}$  is closer to  $T_{nc}$ , i.e., the relationship satisfies  $|T_{im} - T_{nc}| \leq 100^\circ\text{C}$ . When  $D_{im}$  denotes a concentration of an element added to fill the lattice defects and  $D_{df}$  denotes a concentration of the lattice defects in the rock-salt type crystal, it is preferable that  $D_{im} \leq D_{df} \times 1.5$ . It is further preferable that  $0.2 \leq D_{im} \leq D_{df}$ .

Specifically, the material is preferred to contain Te. A substance to form the amorphous phase in the complex phase comprises at least one of Sb, Bi, In, Ge and Si. At least a part of the elements can comprise an oxide, a nitride, a fluoride, and a nitride-oxide. It should be noted here that the compound phase and the amorphous phase preferably contain a common element. For example, when an element composing the crystalline phase is based on three elements of Ge, Sb and Te, the amorphous phase is preferred to contain Sb or Ge as a main component. Alternatively, it is further preferable that the compound phase contains Ge, Sb and/or Bi and Te while the amorphous phase contains Sb and/or Bi or Ge. It is preferable that at least one element selected from Sn, Cr, Mn, Pb, Ag, Al, In, Se and Mo is included in the crystalline phase.

The element composing the rock-salt type crystal preferably contains Ge and Te as its base materials, and further preferably, it contains at least one element selected from Sb and Bi. It is particularly preferable that the base material composition of the rock-salt type crystal substantially corresponds to a GeTe-Sb<sub>2</sub>Te<sub>3</sub> quasibinary system composition, a GeTe-Bi<sub>2</sub>Te<sub>3</sub> quasibinary system composition or a mixture thereof. When an element composing the rock-salt type crystal contains Ge, Te, and Sb, or it contains Ge, Te, and Bi, the element to fill the lattice defects is at least one selected from Al, Ag, Pb, Sn, Cr, Mn and Mo. It is also preferable that the base material composition of the rock-salt type crystal substantially corresponds with  $(\text{GeTe})_{1-x}(\text{M}_2\text{Te}_3)_x$ , in which  $0.2 \leq x \leq 0.9$  (M denotes at least one element selected from Sb, Bi and Al, or an arbitrary mixture of these elements). It is further preferable that  $0.5 \leq x \leq 0.9$ . For improving recording sensitivity, it is further preferable that the

recording film contains nitrogen (N) or oxygen (O). Preferably, the concentration of the N atom (Dn) is  $0.5 \text{ atom\%} \leq Dn \leq 5 \text{ atom\%}$  since the range provides higher effects.

5 Filling Al, Cr or Mn in lattices is preferable to improve repeatability, and addition of Ag is preferable to increase changes in optical characteristics (signal amplitude change) between the crystalline phase and the amorphous phase. Filling Sn or Pb is effective in improving crystallization speed.

10 It is further effective to fill plural elements at the same time in lattice defects for improving the characteristics. When the material is based on Ge-Sb-Te or Ge-Bi-Te, both the crystallization speed and the repeatability can be improved preferably at the same time by, for example, using simultaneously at least one of Sn and Pb together with Al, Cr or Mn. Otherwise, simultaneous use of either Sn or Pb together with Ag is preferable to improve the crystallization speed and the signal amplitude at the same time. Using 15 at least one of Al, Cr and Mn together with Ag is preferable to improve repeatability and signal amplitude at the same time. Furthermore, addition of at least one of Al, Cr and Mn, at least either Sn or Pb together with Ag is preferable in improving crystallization speed, signal amplitude and repeatability at the same time.

20 Preferably, such a material layer is manufactured by lamination such as vapor deposition and sputtering. Specifically, it is further preferable that sputtering is carried out by using a target including a component composing the rock-salt type crystal and an element to fill the lattice defects. Preferably, the target contains at least Ge and Te as elements for forming the rock-salt 25 type crystal, and further preferably, contains an element selected from Al, Sb and Bi. Especially preferable elements to fill the lattice defects include Ag, Sn, Pb, Al, Cr, In, Mn and Mo. It is further preferable that sputtering is carried out in a gaseous atmosphere containing Ar and N<sub>2</sub>. It is also preferable that the sputtering gas contains at least one gas selected from N<sub>2</sub> 30 gas and O<sub>2</sub> gas.

An optical information recording medium according to the present invention can comprise a single layer medium prepared by forming the above-mentioned recording material thin film on a substrate. However, it is desirable to use a multilayer including the recording layer. For example, it is 35 preferable that a protective layer is provided between the substrate and the recording layer in order to reduce thermal damage in the substrate or to utilize its optical interference effect. It is also preferable to provide a

protective layer to the opposing surface of the recording layer as well in order to prevent deformation of the recording layer and to utilize its optical interference effect. The protective layer is made of a material that is stable thermally and chemically, and transparent optically, such as an oxide, a sulfide, a nitride, a nitride-oxide, a carbide, and fluoride. Examples of the materials include ZnS, SiO<sub>2</sub>, ZnS-SiO<sub>2</sub>, SiNO, SiN, SiC, GeN, Cr<sub>2</sub>O<sub>3</sub>, and Al<sub>2</sub>O<sub>3</sub>. It is preferable to provide a reflecting layer over the protective layer in order to increase efficiency for laser beams or the like used for recording. The reflecting layer can be a metallic material film or a multilayer film combined with a dielectric material. The metallic material can be Au, Al, Ag or an alloy based on these metals.

An electric information recording medium according to the present invention can be constituted by laminating sequentially on a substrate an electrode material, the above-mentioned material thin film, and a further electrode material. Otherwise, such a medium can be constituted by laminating the material thin film and an electrode material on a metallic substrate that functions also as an electrode.

Materials of the respective layers are formed by lamination such as sputtering and vapor deposition similar to the case of an optical information recording medium. Since an electric memory system in the present invention causes variation in electrical resistance, it can be used as a component for a variable programmable circuit.

#### Brief Description of Drawings

FIG. 1 is a schematic view to show a structure (atom position at a time of crystallization) of a representative recording film used for an information recording medium of the present invention, in which the crystalline phase is a single phase. In this example, the crystalline phase is constituted with a single compound phase (moreover, it is a rock-salt type structure). In the lattice site position forming the rock-salt type structure, all 4a sites are occupied by Te atoms 1, while 4b sites are occupied by Ge atoms 2, Sb atoms 3, and occupied by also lattice defects 4. In the present invention, atoms other than the atoms occupying the 4b sites are filled in the lattice defects.

FIG. 2 is a schematic view to show a structure (atom position at a time of crystallization) of another representative recording film used for an information recording medium of the present invention, in which the recording layer is a complex phase (a crystalline phase). In FIG. 2, (a)

denotes a crystalline phase 100. The crystalline phase is a complex phase (mixture phase) 100 comprising a component 110 having a compound structure basically equal to that shown in FIG. 1 and also an amorphous component 120. In FIG. 2, (b) denotes an amorphous phase 200. In (b), a single phase is formed.

FIGs. 3A-3D are further specific examples of the structure shown in FIG. 2.

FIGs. 4A-4J are cross-sectional views of an example of a layer constitution of an optical information recording medium according to the present invention. In FIGs. 4A-4J, 7 denotes a substrate, 8 denotes a recording layer (phase change material layer), and 9 and 10 denote protective layers. Numeral 11 denotes a reflective layer, 12 denotes an overcoat layer, 13 denotes an adhesive layer, and 14 denotes a protective plate. Numeral 15 denotes a surface layer, 16 and 17 denote interface layers, 18 denotes an optical absorption layer, 19 denotes a reflective layer (light incident side), and 20 and 21 respectively denote multilayer films of the above-mentioned thin films.

FIG. 5 is a schematic view of a crystal structure to show positions of additional elements in the crystalline phase of a recording film used for an information recording medium according to the present invention. Numeral 22 denotes a position of an atom filling a lattice defect in a rock-salt type crystal lattice.

FIGs. 6A-6C are graphs to show laser modulation waveforms to evaluate the recording performance of an optical information recording medium according to the present invention. FIG. 6A shows the recording performance regarding a 3T pulse, FIG. 6B shows the recording performance regarding a 4T pulse, and FIG. 6C shows the recording performance regarding 5T-11T pulses.

FIG. 7 is a graph to show a relationship between a proper additive concentration and a lattice defect concentration in an information recording medium according to the present invention.

FIGs. 8A-8F and 9A-9E show examples of crystal structures of recording films used for information recording media according to the present invention. The respective structures will cope with any compound phases shown in FIGs. 1 and 2.

FIG. 10 is a schematic view to show a basic structure of an electric memory device (a reversible change memory of a resistor) according to the

present invention. In FIG. 10, 23 denotes a substrate, 24 and 27 denote electrodes, 25 denotes an insulator, 26 denotes a phase change material film, 28 and 29 denote switches, 30 denotes a pulse power source, and 31 denotes an electrical resistance meter.

#### Best Mode for Carrying Out the Invention

FIG. 2 is a cross sectional view to show an example (layer constitution) of an optical information recording medium according to the present invention. A typical information recording medium is constituted by forming a recording layer 8 having the above-mentioned constitution on a substrate 7 selected from transparent polycarbonate resin, an acrylic resin, a polyolefin-based resin, a glass sheet or the like. Protective layers 9 and 10 can be formed on at least one surface of the recording layer. Reflective layers 11 can be formed on the respective protective layers. Overcoats 12 can be formed on the top layers, or the overcoats can be replaced by protective plates 14 that are adhered by adhesive layers 13. For guiding laser beams used in recording/reproducing, a spiral or concentric circular concave-convex groove track, a pit array, a track address can be formed on the substrate surface. Such a recording medium is irradiated with a laser beam in order to cause reversible phase change in the recording layer between a crystalline phase and an amorphous phase, so that information can be rewritten. In the case of crystallization, the recording medium is irradiated with a laser beam like a pulse in order to keep the irradiated part at or above an interim crystallization change temperature. In changing the recording layer to be amorphous, the layer is irradiated with a more intensive laser beam for a period equal to or shorter when compared to a case of crystallization, so that the irradiated part is melted instantaneously and then quenched. This reversible phase change can be detected as a change in the reflectance or transmittance. This reproduction is carried out by irradiating the recording medium with a laser beam weakened not to provide any additional influence so as to detect changes in the strength of light reflected from the irradiated portion or transmitted.

An optical information recording medium according to the present invention, as shown in FIGs. 4A-4J, will be characterized by a composition of a material composing the recording layer 8 and by the internal structure. A representative example will be explained below with reference to a Ge-Sb-Te based material. As reported in N Yamada et al., J. Appl. Phy.69(5), 2849

1991). a Ge-Sb-Te material is crystallized to have a face-centered cubic structure meta-stably by irradiating a laser beam. In addition to that, a recent research presentation by the same author (MRS-Buttettin, 21(9), 48(1996) and a research presentation by Nonaka et al. (papers for the tenth symposium on phase change recording, p.63) suggest that the metastable phase necessarily contains many lattice defects (vacancy). The following description is about a representative composition of a stoichiometric compound composition of  $\text{Ge}_2\text{Sb}_2\text{Te}_5$ . The material has a metastable phase of rock-salt type (NaCl type). As shown in FIG. 1, all lattice positions (4a sites) corresponding to Cl atoms are occupied by Te atoms 1, and all lattice site positions (4b sites) corresponding to Na atoms are occupied by Ge atoms 2 and Sb atoms 3 at random depending on the composition ratio. However, since the total number of the Ge atoms and the Sb atoms is greater than the number of the Te atoms, the 4a sites necessarily has lattice defects 4 of about 20% (about 10% of the entire sites). The lattice defects also are located at random (An example of atom positions in 4a sites is shown).

The inventors reported that such a Ge-Sb-Te system makes a crystal having a substantially identical face-centered-cubic crystal structure even if the composition is changed. Recent studies show that a Sb atom does not enter a crystal lattice but an added Sb atom exists in a separate structure on an interface of a crystal particle even if Sb is included in a form of, e.g.,  $\text{Ge}_2\text{Sb}_{2+x}\text{Te}_5$  ( $0 < x \leq 1$ ) to fill the defects. Particularly, the Sb atom will exist in an amorphous phase especially for a case of laser crystallization. Specifically, the result of observation by a detailed X-ray diffraction demonstrates that even if Sb is added to a stoichiometric composition  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  thin film, the Sb atom does not enter the crystal lattice to fill the lattice defect completely. As a result,  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  crystal and Sb will coexist in a structure of a recording film in a crystalline state. In a typical case of two-phase coexistent composition, repetition of a melting-solidification process will cause a phase separation, and this will lead to local variation in the composition. An advantage of this case is that such a phase separation will not proceed since the melting point of Sb is considerably close to that of Ge-Sb-Te and since the Ge-Sb-Te also includes Sb.

Besides Sb, some additives can prevent crystal growth though the conditions vary in many cases. For example, JP-A-7-214913 discloses the addition of Pd. This reference discloses that crystallization becomes difficult when the amount of the additives exceeds 2 atom%. From the fact that a

very small amount of additive causes an abrupt change in the characteristics, Pd is considered to exist without entering the lattice defects. In other words, even a small amount of Pd is considered to be separated completely from Ge-Sb-Te but not to enter a crystal lattice based on Ge-Sb-Te. However, when  
5 the Pd concentration reaches about 2 atom%, characteristics of Pd as a material having a high-melting point become remarkable, and the Pd will restrict the movement of atoms so as to substantially prevent crystallization. Moreover, repetition of recording and erasing accelerates phase separation of the Ge-Sb-Te and Pd. In other words, an additive that does not enter a  
10 lattice cannot be suitable for controlling the characteristics.

On the other hand, a relatively easy relationship between Sb concentration and change in the crystallization characteristics facilitates control of the characteristics and serves to maintain high repeatability. This fact may suggest that the melting point of an additional element cannot be too  
15 much higher than that of the base material in order to change the characteristics widely and continuously by adding the element. It is also desirable that the additional element can enter the crystal lattice and especially, the element does not create a separate crystalline phase. A further merit is that entering of excessive and harmful atoms can be  
20 prevented by previously filling the lattice defects with useful atoms.

The inventors evaluated recording materials from the above-mentioned aspects and found that additional elements enter crystal lattices and thus characteristics can be controlled continuously with high accuracy under a certain condition. The inventors found also that some additives will  
25 take place of elements of the base material. Moreover, the additives may change the purged elements. In addition, the temperature and speed of crystallization can be controlled by controlling the condition and concentration of the purged elements, and this will lead to desirable recording/erasing performance. It is reasonable that in this case, a part of elements forming a  
30 compound in a crystal is common to elements that have been purged outside the compound and exist in an amorphous phase in the grain boundary or the like. This means that positional uniformity of the composition will be maintained easily all the time that phase changes between a crystalline phase and an amorphous phase occur. Specifically, the additives prevent the  
35 progress of phase separation even when the crystalline phase becomes a complex phase, and thus, good repeatability can be maintained. It can be concluded from the above facts that a material being a single phase and

necessarily including lattice defects can provide unexpected characteristics by filling the lattice defects appropriately with any other atoms. Also, it is suggested that addition of a certain element can help formation of a material having a new structure.

5 The following explanation is about a specific material composition to constitute a recording layer 8. A primary condition for a material in the present invention is to obtain a material comprising many lattice defects. A crystalline phase comprising lattice defects will appear as a metastable phase in materials that can be represented by  $\text{GeTe-M}_2\text{Te}_3$  (M is, for example, Sb, Bi or Al). The examples are a Ge-Sb-Te based material comprising a GeTe-Sb<sub>2</sub>Te<sub>3</sub> composition, a Ge-Bi-Te material comprising a GeTe-Bi<sub>2</sub>Te<sub>3</sub> based composition, or a Ge-Te-Al based material comprising a GeTe-Al<sub>2</sub>Te<sub>3</sub> based composition. Similarly, a crystalline phase including lattice defects will appear as a metastable phase in compositions of the mixtures such as Ge-Sb-Bi-Te, Ge-Sb-Al-Te, Ge-Bi-Al-Te, and Ge-Sb-Bi-Al-Te. Similar constitutions are obtained for  $\text{Ge}(\text{Te}, \text{Se})\text{-M}_2(\text{Te}, \text{Se})_3$  in which a part of Te is replaced by Se. The examples are Ge-Te-Se-Sb, Ge-Te-Se-Bi, Ge-Te-Se-Sb-Bi, Ge-Te-Se-Al, Ge-Te-Se-Sb-Al, Ge-Te-Se-Bi-Al, and Ge-Te-Se-Sb-Bi-Al. Similar effects were obtained by applying, for example, Ge-Sn-Te-Sb, Ge-Sn-Te-Sb-Al, Ge-Pb-Te-Sb, and Ge-Pb-Te-Sb-Al, which are obtained by substituting a part of the Ge with Sn or with Pb. Similar constitutions were obtained when N was added to the compositions. These are crystallized meta-stably to have a face-centered-cubic crystal structure (rock-salt structure). When the 4b sites of the rock-salt type structure are occupied by Te (or Se) and the 4a sites are occupied by other element M as mentioned above, Te (or Se) atoms outnumber M atoms, which will create lattice defects at the 4a sites inevitably. The lattice defects cannot be filled completely with the above-mentioned elements such as Sb. The reason has not been clarified yet, but it can be deduced that a metastable phase of a rock-salt type cannot be formed without a certain number of lattice defects inside thereof. Namely, filling the defects may raise the entire energy so that the rock-salt type structure cannot be kept.

As a result of various analyses and experiments, the inventors have found that not all elements can fill lattice defects and that an ionic radius is an important factor to determine the conditions. When the 4a sites have lattice defects, the defected lattices of the base materials will be filled easily if  $R_{\text{lm}}$  is sufficiently close to  $R_{\text{nc}}$ , where  $R_{\text{nc}}$  denotes an ionic radius of an element having a minimum ionic radius among elements occupying the 4a

sites and Rim denotes an ionic radius of an additional element. According to Third Revision of Manual of Basic Chemistry (Kagaku-binran Kiso-hen) II issued by Maruzen Co., Ltd., the radius of a  $\text{Ge}^{4+}$  ion is 0.67, the radius of a  $\text{Sb}^{3+}$  ion is 0.74 $\mu\text{m}$ , and the radius of a  $\text{Te}^{2-}$  ion is 2.07 $\mu\text{m}$  when the

- 5 coordination number is 6. For Ge-Sb-Te, an element can enter a lattice easily when it has an ionic radius substantially the same or slightly smaller than the radius of a Ge ion located at a 4a site. Each Ge ion has a smaller ionic radius than that of a Sb ion.

Table 1

Ionic radii and element's melting points for respective ion species

No.	Ion species with a coordination number of 6	Ionic radius (nm)	Element's melting point (°C)	No.	Ion species with a coordination number of 6	Ionic radius (nm)	Element's melting point (°C)
1	N <sup>5+</sup>	2.7	-209.86	41	Ta <sup>5+</sup>	7.8	2990
2	V <sup>5+</sup>	5.0	1890	42	Mn <sup>3+h</sup>	7.9	1240
3	S <sup>4+</sup>	5.1	112.8	43	Co <sup>2+l</sup>	7.9	1490
4	Si <sup>4+</sup>	5.4	1410	44	Fe <sup>3+h</sup>	7.9	1540
5	P <sup>3+</sup>	5.8	44.1	45	Tc <sup>4+</sup>	7.9	2170
6	Be <sup>2+</sup>	5.9	1280	46	Mo <sup>4+</sup>	7.9	2620
7	As <sup>5+</sup>	6.0	817	47	W <sup>4+</sup>	8.0	3400
8	Se <sup>4+</sup>	6.4	217	48	Mn <sup>2+l</sup>	8.1	1240
9	Ge <sup>4+</sup>	6.7	937.4	49	Ti <sup>3+</sup>	8.1	1660
10	Mn <sup>4+</sup>	6.7	1240	50	Rh <sup>3+</sup>	8.1	1970
11	Re <sup>7+</sup>	6.7	3180	51	Ru <sup>3+</sup>	8.2	2310
12	Al <sup>3+</sup>	6.8	660.37	52	Ir <sup>3+</sup>	8.2	2410
13	Co <sup>3+l</sup>	6.9	1490	53	Nb <sup>4+</sup>	8.2	2470
14	Fe <sup>3+l</sup>	6.9	1540	54	Ta <sup>4+</sup>	8.2	2990
15	Cr <sup>4+</sup>	6.9	1860	55	Sn <sup>4+</sup>	8.3	231.96
16	Re <sup>6+</sup>	6.9	3180	56	Ni <sup>2+</sup>	8.3	1450
17	Te <sup>6+</sup>	7.0	449.5	57	Mo <sup>3+</sup>	8.3	2620
18	Ni <sup>3+l</sup>	7.0	1450	58	Hf <sup>4+</sup>	8.5	2230
19	As <sup>3+</sup>	7.2	817	59	Mg <sup>2+</sup>	8.6	648.8
20	Mn <sup>3+l</sup>	7.2	1240	60	Zr <sup>4+</sup>	8.6	1850
21	V <sup>4+</sup>	7.2	1890	61	Nb <sup>3+</sup>	8.6	2470
22	Mo <sup>6+</sup>	7.3	2620	62	Ta <sup>3+</sup>	8.6	2990
23	Sb <sup>5+</sup>	7.4	630.74	63	Ge <sup>2+</sup>	8.7	937.4
24	Ni <sup>3+h</sup>	7.4	1450	64	Cu <sup>2+</sup>	8.7	1083.4
25	Rh <sup>4+</sup>	7.4	1970	65	U <sup>5+</sup>	8.7	1132.3
26	W <sup>6+</sup>	7.4	3400	66	Cr <sup>2+l</sup>	8.7	1860
27	Co <sup>3+h</sup>	7.5	1490	67	Zn <sup>2+</sup>	8.8	419.58
28	Fe <sup>2+l</sup>	7.5	1540	68	Sc <sup>3+</sup>	8.8	1540
29	Ti <sup>4+</sup>	7.5	1660	69	Co <sup>2+h</sup>	8.9	1490
30	Mo <sup>5+</sup>	7.5	2620	70	Li <sup>+</sup>	9.0	180.54
31	Ga <sup>3+</sup>	7.6	29.78	71	Bi <sup>6+</sup>	9.0	271.3
32	Pd <sup>4+</sup>	7.6	1550	72	Sb <sup>3+</sup>	9.0	630.74
33	Cr <sup>3+</sup>	7.6	1860	73	Pd <sup>3+</sup>	9.0	1550
34	Ru <sup>4+</sup>	7.6	2310	74	Cu <sup>+</sup>	9.1	1083.4
35	W <sup>5+</sup>	7.6	3400	75	Pb <sup>4+</sup>	9.2	327.502
36	Pt <sup>4+</sup>	7.7	1770	76	Fe <sup>2+h</sup>	9.2	1540
37	Ir <sup>4+</sup>	7.7	2410	77	V <sup>2+</sup>	9.3	1890
38	Os <sup>4+</sup>	7.7	3045	78	In <sup>3+</sup>	9.4	156.61
39	V <sup>3+</sup>	7.8	1890	79	Pt <sup>2+</sup>	9.4	1770
40	Nb <sup>5+</sup>	7.8	2470	80	Cr <sup>2+h</sup>	9.4	1860

Atoms in a rock-salt structure are considered to have a coordination number of 6. Table 1 is a list of ion species each having a coordination number of 6 and ionic radius of about 0.67 in an order of the ionic radius. Since a  $\text{Ge}^{4+}$  ion has ionic radius of 0.67, ions ranging from a vanadium ion  $\text{V}^{5+}$  that is about 70% of a  $\text{Ge}^{4+}$  ion to a  $\text{Ni}^{3+}$  ion that is about 105% may enter a lattice. That is, effective elements are V, S, Si, P, Be, As, Se, Ge, Mn, Re, Al, Co, Te, Cr, and Ni. Among them, V, S, Si, Mn, Al, Co, Cr, and Ni etc. are suitable. The remaining elements are not suitable, since, for example, Be, As and P may cause problems due to the toxicity, while Ge and Te compose the base material, and Re is a radioactive element.

Elements for filling lattices are not limited to the above-mentioned ones. The above-mentioned condition is just one factor to determine easy access to a lattice. An element that composes a compound of a rock-salt type structure is observed to enter a lattice easily. Specifically, Ag, Sn and Pb were observed entering lattices, since Ag, Sn and Pb compose  $\text{AgSbTe}_2$ ,  $\text{SnTe}$ , and  $\text{PbTe}$  respectively.

In addition to the suitability to fill a lattice, another important factor for additional elements is the melting point. Formation of an amorphous mark with a phase change optical disk requires a process of melting a recording film before quenching. For such a case, a melting point of the additive is preferred to be close to the melting point of an entire recording film (more preferably, a melting point of the additive is close to melting points of all elements composing the recording film). If the additive has a melting point much higher than the entire melting point, phase separation will proceed easily during repetition of melting and solidification. In such a case, it is difficult to keep the additives stably in lattices even when the ionic radii are closer to each other. In other words, phase separation occurs, and the phase separation creates a region comprising more additives and a region comprising fewer additives. It is preferable to decrease the difference between the melting points, however, when the difference is about  $100^\circ\text{C}$ , lattice defects can be filled while creating substantially no phase separation. Otherwise an extremely uniform mixed phase can be formed even without forming a single phase. For a case of  $\text{Ge}_2\text{Sb}_2\text{Te}_5$ , the melting point is about  $630^\circ\text{C}$ . Therefore, an additive is preferred to have a melting point in a range from about  $530^\circ\text{C}$  to  $730^\circ\text{C}$ . Table 2 is a list of elements to form ions having coordination number of 6 as mentioned above, and the elements are described sequentially from the one with a lower melting point. This table shows that

elements ranging from No. 25 (Sb) to No. 31 (Ba) are within the range. That is, corresponding elements are Sb, Pu, Mg, Al and Ba, from which Pu as a radioactive element and Sb as a base material are excluded. The remaining Mg, Al, Ba or the like are used suitably for the purpose.

0956501 4130  
T0E12T T093E50

Table 2

Melting points of respective elements and ionic radii of ion species

No.	Ion species with a coordination number of 6	Ionic radius (nm)	Element's melting point (°C)	No.	Ion species with a coordination number of 6	Ionic radius (nm)	Element's melting point (°C)
1	Cs <sup>+</sup>	18.1	28.4	41	Ge <sup>2+</sup>	8.7	937.4
2	Ga <sup>3+</sup>	7.6	29.78	42	Ge <sup>4+</sup>	6.7	937.4
3	Rb <sup>+</sup>	16.6	38.89	43	Ag <sup>+</sup>	12.9	961.93
4	P <sup>3+</sup>	5.8	44.1	44	Ag <sup>2+</sup>	10.8	961.93
5	K <sup>+</sup>	15.2	63.65	45	Nd <sup>3+</sup>	11.2	1020
6	Na <sup>+</sup>	11.6	97.81	46	Ac <sup>3+</sup>	12.6	1050
7	S <sup>2-</sup>	17.0	112.8	47	Au <sup>+</sup>	15.1	1064.43
8	S <sup>4+</sup>	5.1	112.8	48	Cu <sup>+</sup>	9.1	1083.4
9	I <sup>-</sup>	20.6	113.5	49	Cu <sup>2+</sup>	8.7	1083.4
10	In <sup>3+</sup>	9.4	156.61	50	U <sup>3+</sup>	11.7	1132.3
11	Li <sup>+</sup>	9.0	180.54	51	U <sup>4+</sup>	10.3	1132.3
12	Se <sup>2-</sup>	18.4	217	52	U <sup>5+</sup>	8.7	1132.3
13	Se <sup>4+</sup>	6.4	217	53	Mn <sup>2+l</sup>	8.1	1240
14	Sn <sup>4+</sup>	8.3	231.96	54	Mn <sup>2+h</sup>	9.7	1240
15	Bi <sup>3+</sup>	11.7	271.3	55	Mn <sup>3+l</sup>	7.2	1240
16	Bi <sup>6+</sup>	9.0	271.3	56	Mn <sup>3+h</sup>	7.9	1240
17	Tl <sup>+</sup>	16.4	303.5	57	Mn <sup>4+</sup>	6.7	1240
18	Tl <sup>3+</sup>	10.3	303.5	58	Be <sup>2+</sup>	5.9	1280
19	Cd <sup>2+</sup>	10.9	320.9	59	Gd <sup>3+</sup>	10.8	1310
20	Pb <sup>2+</sup>	13.3	327.502	60	Dy <sup>3+</sup>	10.5	1410
21	Pb <sup>4+</sup>	9.2	327.502	61	Si <sup>4+</sup>	5.4	1410
22	Zn <sup>2+</sup>	8.8	419.58	62	Ni <sup>2+</sup>	8.3	1450
23	Te <sup>2-</sup>	20.7	449.5	63	Ni <sup>3+l</sup>	7.0	1450
24	Te <sup>6+</sup>	7.0	449.5	64	Ni <sup>3+h</sup>	7.4	1450
25	Sb <sup>3+</sup>	9.0	630.74	65	Co <sup>2+l</sup>	7.9	1490
26	Sb <sup>5+</sup>	7.4	630.74	66	Co <sup>2+h</sup>	8.9	1490
27	Pu <sup>3+</sup>	11.4	639.5	67	Co <sup>3+l</sup>	6.9	1490
28	Pu <sup>4+</sup>	10.0	639.5	68	Co <sup>3+h</sup>	7.5	1490
29	Mg <sup>2+</sup>	8.6	648.8	69	Y <sup>3+</sup>	10.4	1520
30	Al <sup>3+</sup>	6.8	660.37	70	Sc <sup>3+</sup>	8.8	1540
31	Ba <sup>2+</sup>	14.9	725	71	Fe <sup>2+l</sup>	7.5	1540
32	Sr <sup>2+</sup>	13.2	769	72	Fe <sup>2+h</sup>	9.2	1540
33	Ce <sup>3+</sup>	11.5	799	73	Fe <sup>3+l</sup>	6.9	1540
34	Ce <sup>4+</sup>	10.9	799	74	Fe <sup>3+h</sup>	7.9	1540
35	As <sup>3+</sup>	7.2	817	75	Pd <sup>2+</sup>	10.0	1550
36	As <sup>5+</sup>	6.0	817	76	Pd <sup>3+</sup>	9.0	1550
37	Eu <sup>2+</sup>	13.1	822	77	Pd <sup>4+</sup>	7.6	1550
38	Eu <sup>3+</sup>	10.9	822	78	Lu <sup>3+</sup>	10.0	1660
39	Ca <sup>2+</sup>	11.4	839	79	Ti <sup>2+</sup>	10.0	1660
40	La <sup>3+</sup>	11.7	921	80	Ti <sup>3+</sup>	8.1	1660

For example, when the base material comprises a  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  composition, Al is a suitable element that can satisfy the two conditions concerning ion radius and melting point simultaneously, while it is free of toxicity or radioactivity. A  $\text{GeTe-Sb}_2\text{Te}_3$ -based composition can be treated in the same manner as  $\text{Ge}_2\text{Sb}_2\text{Te}_5$ . While the melting point of the  $\text{GeTe-Sb}_2\text{Te}_3$ -based composition changes continuously in a range from  $593^\circ\text{C}$  to  $725^\circ\text{C}$ , Al was effective as well in filling lattice defects. Similarly, in any material compositions based on Ge and Te, Al was effective in filling lattice defects. Needless to say, elements other than Al were confirmed to enter lattices. It was confirmed that Ag, Cr, Mn, Sn, Pb, Mo In and Se enter lattices.

Elements to fill lattice defects are not limited to one kind, but plural kinds of elements can be filled simultaneously. In an experiment performed by the inventors, the crystallization speed was improved remarkably by, for example, filling Sn (or Pb) in lattices when the material is Ge-Sb-Te based material or Ge-Bi-Te based material. The repeatability was improved by filling Cr in lattices. Therefore, the crystallization speed and repeatability were improved at the same time by filling Sn (or Pb) together with Cr. Similar effects were obtained by filling Mn in place of Cr in the crystal lattices. Filling Ag was helpful in improving optical reflectance variation between a crystalline phase and an amorphous phase (improvement in recording signal amplitude). Therefore, improvement in the recording signal amplitude and the crystallization speed was achieved simultaneously by adding Ag and Sn (or Pb) together. Signal amplitude and repeatability were improved simultaneously by filling Ag and Cr (or Mn) at the same time. The addition of Sn (or Pb), Ag and Cr (or Mn) together served to improve crystallization speed, signal amplitude and repeatability simultaneously.

FIG. 2 indicates a preferred embodiment for a recording layer used for another optical information recording medium according to the present invention. FIG. 2 expresses schematically a partial microscopic structure of a recording layer 8 at a laser irradiation part in any of FIGs. 4A-4I. In FIG. 2, (a) denotes a crystalline phase (complex phase) 100 comprising a mixture of a compound component 110 and an amorphous component 120, while (b) denotes a single-amorphous phase 200. The recording material layer is composed of the four elements of Ge, Sb, Te and Sn. The crystal component 110 in the complex phase 100 has a NaCl type structure comprising the four elements of Ge-Sb-Te-Sn. The 4a sites of the NaCl type structure (sites corresponding to Cl) are occupied by Te, while the 4b sites (sites corresponding

to Na) are occupied randomly by Ge, Sb and Sn. At the 4b sites there are lattice defects to accept no atoms, which tends to decrease entire density. As a result, volume variation between the crystalline phase and amorphous phase is decreased, and inconvenience such as deformation or perforation caused by the phase change is prevented. In the grain boundary, components that cannot enter the lattices exist in an amorphous state. Here, Sb exists in an amorphous state. It is preferable that an amount of the amorphous component is twice or less than the crystal component by number of molecules. It is preferable  $A/C \leq 2$ , or more preferably,  $A/C \leq 1$ , where C denotes a number of molecules of the crystal component and A denotes a number of molecules of the amorphous component. When the ratio of the amorphous component exceeds twice, the crystallization speed will be lowered remarkably. On the other hand, when the ratio is close to 0, the crystallization speed is increased excessively. It is preferable that  $A/C \geq 0.01$ . The element that is found as an amorphous component in the crystalline phase is not limited to Sb but it can be Ge. Ge is effective in raising crystallization temperature or improving repeatability. The great viscosity of the amorphous Ge is considered to provide such effects. It has been confirmed that elements such as Mn and Cr can be added for depositing Ge.

From a macroscopic viewpoint, all elements are arranged in a substantially uniform state in the single-amorphous phase 200. It is important for the recording film to change reversibly between the two states during recording or rewriting information. At this time, it is preferable that a part of the elements for forming the amorphous phase 120 and elements for forming the compound component 110 in the complex phase 100 is common, so that the distance of atomic diffusion is decreased at the time of phase change so as to complete the change rapidly. It is effective also in preventing generation of great positional compositional segregation when rewriting is repeated many times.

A material layer composing the recording layer comprises a material for forming a crystalline phase in a complex phase, and the material is represented by a format of Ma-Mb-Mc- $\alpha$ , in which Ma comprises Ge and at least one of Sn and Pb, Mb comprises at least one of Sb and Bi, and Mc comprises at least one of Te and Se. Any other elements can be added if required. For example, Mn, Cr, Ag, Al, In or the like can be added. For a material for forming an amorphous phase in the complex phase, Sb or Ge is suitable for a Ge-Sb-Te based material, while Ge or Bi is suitable for a Ge-Bi-

Te based material. For a AgInSbTe based material, In can be used.

In general, protective layers 9 and 10 in FIGs. 4B-4I are made of a dielectric material. Protective layers suggested as optical disk media in conventional techniques can be used as well. The examples include a material layer of an oxide alone or a complex oxide of an element selected from Al, Mg, Si, Nb, Ta, Ti, Zr, Y, and Ge; a material layer of a nitride or a nitride-oxide of an element selected from Al, B, Nb, Si, Ge, Ta, Ti, and Zr; a sulfide such as ZnS and PbS; a selenide such as ZnSe; a carbide such as SiC; a fluoride such as CaF<sub>2</sub> and LaF<sub>3</sub>; and a mixture thereof such as ZnS-SiO<sub>2</sub> and ZnSe-SiO<sub>2</sub>.

A reflecting layer 11 is based on a metal such as Au, Al, Ag, Cu, Ni, Cr, Pd, Pt, Si, and Ge, or an alloy such as Au-Cr, Ni-Cr, Al-Cr, Al-Ta, Al-Ti, Ag-Pd, Ag-Pd-Cu, Si-W, and Si-Ta.

An overcoat layer 12 can be made of, for example, a photo-curable resin. An adhesive 13 can be made of, for example, a hot-melt adhesive or a photo-curable resin such as an ultraviolet curable resin. A protective plate 14 can be made of the same material as the substrate. The substrate is not transparent necessarily for a constitution to record and reproduce by irradiating a laser beam from the side having a recording layer. The above-mentioned substrate can be replaced by, for example, a plate of a light metal such as Al and Cu, or a plate of alloy based on the light metal, and a plate of ceramics such as Al<sub>2</sub>O<sub>3</sub> and MgO<sub>2</sub>. In this case, the respective layers are formed on the substrate in a reversed order.

Though it is not indispensable, a surface layer 15 can be provided on the outermost in order to prevent damage caused by a contact with an optical head. The surface layer can be made of a lubricant material comprising e.g., a diamond-like-carbon and a polymer material.

Interface layers 16 and 17 can be formed in an interface between the recording layer and at least one of the protective layers for several purposes, such as preventing atomic diffusion in spacing between the recording layer and the protective layer. Especially, nitrides, nitride-oxides and carbides are suitable for the interface layer. The examples include materials of Ge-N-(O), Al-N-(O), Si-C-N, Si-C or the like, and materials further including Cr, Al or the like, such as Ge-C-N and Si-Al-. Optical absorption A<sub>a</sub> of a recording layer in an amorphous state can be decreased relatively with respect to optical absorption A<sub>c</sub> of the recording layer in a crystalline state by applying an optical absorption layer 18 over an upper protective layer of the recording

layer, or by applying a semitransparent reflecting layer 19 at the light incident side of the recording layer.

The optical absorption layer can be made of alloy materials based on Si and Ge, or alloy materials based on Te. The reflecting layer can be made of the same material, or it can be formed by laminating dielectric films having different refractive indices, such as  $\text{SiO}_2/\text{ZnS-SiO}_2/\text{SiO}_2$ . An alternative medium can have both surfaces made by adhering a recording medium having these multilayer films 20 and 21 through adhesive layers 13.

A multilayer film used for an optical information recording medium according to the present invention can be formed by an ordinary method for forming a thin film. The method is selected, for example, from magnetron sputtering, DC sputtering, electron beam deposition, resistance heating deposition, CVD, and ion plating. Especially, magnetron sputtering using an alloy target, and also DC sputtering are excellent in obtaining uniform films that will be used as recording films in the present invention. A target used for sputtering contains a main component of a material for forming the above-mentioned rock-salt structure, to which an element for filling the lattice defects is added. Such a target can be prepared by solidifying powders composed of respective elements at a proper ratio, and the elements are, for example, Ge, Te, Sb and Al; Ge, Sb, Sn, Cr and Te; Ge, Sb, Te, Sn and Ag. Though the component ratio in the target substantially corresponds to compositions of the recording film, minor adjustment for every apparatus is required since the components will be influenced by the apparatus. For example,  $D_{ad}$  is equal substantially to  $D_{im} \leq D_{df} \times 1.5$ , where  $D_{im}$  denotes a concentration of an additive in a film of the crystalline phase,  $D_{df}$  denotes a concentration of lattice defects, and  $D_{ad}$  denotes a concentration of an additive in a target. In general, an amorphous single phase is formed just after film formation, which will be transformed into a crystalline phase (initialization). It is possible to form a phase as a mixture of the crystalline phase and the amorphous phase by irradiating with a high density energy flux. In irradiation of the high density energy flux, it is desirable to penetrate the flux at a high temperature for a short period. Therefore, laser irradiation and flash irradiation are used suitably.

FIG. 10 is a schematic view to show a basic structure of an electric memory device according to the present invention (a reversible change memory of a resistor). In FIG. 10, 23 is a substrate selected from a glass sheet, a ceramic sheet such as  $\text{Al}_2\text{O}_3$ , and sheets of various metals such as Si

and Cu. The following explanation is about a case for using an alumina substrate. In FIG. 10, an Au layer is sputtered to provide an electrode 24 on a substrate. Subsequently, a layer 25 of an insulator such as  $\text{SiO}_2$  or  $\text{SiN}$  is formed thereon through a metal mask, and further, a recording layer 26 comprising a phase change material similar to the above-mentioned recording layer for the optical information recording medium, and also an electrode (Au) 27 are laminated. Between the electrodes 24 and 27, a pulse power source 30 is connected through a switch 28. For crystallizing the recording film that is in highly resistant under as-depo.-condition in order to change into a low resistant state, the switch 28 closes (switch 29 open) so as to apply voltage between the electrodes. The resistance value can be detected with a resistance meter 31 while opening the switch 28 and closing the switch 29. For reversely transforming from the low resistant state to a high resistant state, voltage higher than the voltage at the time of crystallization is applied for the same or shorter period of time. The resistance value can be detected with a resistance meter 31 while opening the switch 28 and closing the switch 29. A large capacity memory can be constituted by arranging a large number of the memory devices in a matrix.

The present invention will be described further by referring to specific examples.

(Example 1)

Example 1 is directed to a method for manufacturing an optical information recording medium according to the present invention. A substrate used in this example was a disc-shape polycarbonate resin substrate that was 0.6mm in thickness, 120mm in diameter and 15mm in inner diameter. A spiral groove was formed substantially on the whole surface of the substrate. The track was a concave-convex groove having a depth of 70nm. Both the groove portion and the land portion of the track had a width of 0.74 $\mu\text{m}$ . A multilayer film would be formed on the surface later. A laser beam for recording/reproducing an information signal can move to an arbitrary position on the disk by a servo signal provided from the concave-convex shape. On the substrate, the following layers were formed in this order: a  $\text{ZnS:20 mol\% SiO}_2$  protective layer 150nm in thickness; a  $\text{Ge}_2\text{Sb}_2\text{Te}_5\text{Al}_{0.5}$  thin film 20nm in thickness; a GeN interface layer 5nm in thickness; a  $\text{ZnS:20 mol\% SiO}_2$  protective layer 40nm in thickness; and an  $\text{Al}_{97}\text{Cr}_3$  alloy reflecting plate 60nm in thickness. The protective layers were prepared by magnetron sputtering using a  $\text{ZnS-SiO}_2$  sintered target and Ar

sputtering gas. The recording layer and the reflecting layer were prepared by DC sputtering in which respective alloy targets and Ar sputtering gas were used. The interface layer was formed by a reactive magnetron sputtering using a Ge target and a sputtering gas as a mixture of Ar gas and N<sub>2</sub> gas. In any cases, N<sub>2</sub> gas can be added to a sputtering gas. After completing the film formation, an ultraviolet curable resin was spin-coated, and a polycarbonate plate the same as a substrate was adhered to serve as a protective plate, and this was irradiated by a ultraviolet beam lamp subsequently for curing, before subjecting the disk to an initial crystallization by irradiating a laser beam.

The thus obtained optical information recording medium can record and reproduce by means of laser irradiation. In an inspection with an X-ray diffraction, the part that was subjected to the initial crystallization was a NaCl type single-crystalline phase having Al in the crystal lattices, though a slight halo peak was observed. The same inspection was carried out for the other additive elements, and similar results were observed for Mn, Ag, Cr, Sn, Bi, and Pb.

(Example 2)

On a quartz substrate, eight kinds of thin film material were formed by DC sputtering. The materials were represented by Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub>Al<sub>x</sub>, in which Al:x = 0.0, A2:x = 0.2, A3:x = 0.5, A4:x = 1.0, A5:x = 1.5, A6:x = 2.0, A7:x = 2.5, and A8:x = 3.0. The base vacuum degree was  $1.33 \times 10^{-4}$  Pa, and Ar was introduced to make the vacuum degree to be  $1.33 \times 10^{-1}$  Pa. Under this condition, 100W power was applied between a cathode and an alloy target of 100mm $\Phi$  in diameter so as to form a thin film having a thickness of 20nm.

These samples were monitored by using a He-Ne laser beam in the varying strength of the transmitted light while being heated at a programming rate of 50°C/minute in order to measure a temperature at which transmittance was decreased remarkably as a result of crystallization. The results are shown in Table 3.

Table 3

Relationship between Al concentration in a Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> thin film and crystallization temperature · crystallization speed

Sample	A1	A2	A3	A4	A5	A6	A7	A8
Al con. (%)	0%	2.2%	5.3%	10%	14.3%	18.2%	21.7%	25%
T <sub>x</sub>	180°C	183°C	189°C	200°C	227°C	255°C	305°C	350°C
T <sub>cr</sub>	◎	◎	◎	◎	○	△	×	×

The increase of the crystallization temperature becomes sharp when the Al concentration is at a level of the sample E. For this composition, Ddf (concentration of lattice defects) occupies 10% of the whole sites (20% of the 4b sites). For the respective samples, ratios that Al atoms fill lattice defects to Ddf are as follows: A1:0, A2:0.2 × Ddf, A3:0.5 × Ddf, A4:1.0 × Ddf, A5:1.5 × Ddf, A6:2.0 × Ddf, A7:2.5 × Ddf, and A8:3.0 × Ddf. For the samples A5-A8, there are more Al atoms than the lattice defects to be filled. Percentage of the Al atoms to the whole compositions in the respective samples are as follows. A1: 0%, A2: 2.2%, A3: 5.3%, A4: 10%, A5: 14.3%, A6: 18.2%, A7: 21.7%, and A8: 25%.

Regarding the samples A3 and A4, a Rietveld method was performed to identify the structures in detail by using an X-ray diffractometry so as to confirm that Al entered the crystal sites in any of the samples. FIG. 5 is a schematic view to show such a sample. The probability that the lattice defects are filled with the additives is determined randomly as well. For the samples A5, A6, A7 and A8, excessive atoms that cannot enter the crystal lattices will exist among the crystal particles. Such excessive atoms are not always Al, but other elements such as Sb or Ge may deposit as a result of substitution with Al. Laser irradiation period for causing crystallization would be extended when the Al concentration is increased. In the Table, ○ indicates that crystallization occurred within 70ns, ○ indicates that crystallization occurred within 100ns, △ indicates that crystallization occurred within 200ns, and × indicates that crystallization required more than 200ns. When an effective optical spot length is represented by  $1/e^2$ , an ideal value would be about 0.95μm since an optical system used for the current DVD-RAM has a wavelength of 660nm, and NA of an objective lens is 0.6. It takes about 160ns for the laser spot to traverse a disk rotating at a linear velocity of 6m/s, which corresponds to a velocity for DVD-RAM. Therefore, a disk with a ○ mark can be applied to a current DVD-RAM system. It can be applied to a system having a linear velocity of at least 9m/s as well. A disk with ◎ mark can cope with an even higher linear velocity of at least 12m/s.

(Example 3)

Eight optical disks from a1 to a8 were prepared by using the compositions of Example 2 in the method of Example 1. These disk media were rotated at a linear velocity of 9m/s, and light beams having a wavelength of 660nm emitted from a laser diode were focused on the disks by using an

optical system comprising an object lens having NA of 0.6. At this time, as shown in FIGs. 6A-6C, overwriting recording was carried out in a 8-16 modulation (bit length: 0.3 $\mu$ m) by applying a multi-pulse waveform corresponding to waveforms of signals ranging from a 3T signal to a 11T signal. The peak power and bias power were determined as follows. First, a power to provide an amplitude of -3dB to a saturation value of the amplitude was obtained and the power was multiplied by 1.3 to provide a peak power. Next, the peak power was fixed while the bias power was determined to be variable for conducting 3T recording. 11T recording was conducted with the same power for measuring a damping ratio of the 3T signal, which was established as an erasing rate. Since the erasing rate was increased gradually, experienced a substantially flat region and turned into decrease, the bias power was determined to be a central value of the upper limit power and a lower limit power with an erasing rate of more than 20dB.

Table 4 shows recording power (peak power / bias power) at a time of land recording for each disk, C/N, a maximum value for elimination rate, and a number of times that a jitter value is 13% or less when random signals are overwrite-recorded repeatedly.

Table 4

Relationship between Al concentration in Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>3</sub> thin film and disk performance

Disk	a1	a2	a3	A4	a5	a6	a7	a8
Al con.	0%	2.2%	5.3%	10%	14.3%	18.2%	21.7%	25%
Power mW	10.5/4.5 mW	10.5/4.5 mW	10.5/4.5 mW	10.5/4.5 mW	10.1/4.6 mW	10.0/4.9 mW	-----	-----
C/N	50dB	51.5dB	52dB	52.5dB	52.5dB	52.5dB	52.0dB	-----
Erasing rate	25dB	30dB	34dB	35dB	29dB	21dB	10dB	-----
NT	3 $\times$ 10 <sup>4</sup>	1 $\times$ 10 <sup>5</sup>	>1 $\times$ 10 <sup>5</sup>	>1 $\times$ 10 <sup>5</sup>	1 $\times$ 10 <sup>5</sup>	2 $\times$ 10 <sup>4</sup>	-----	-----

<sup>1)</sup>: Al concentration    <sup>2)</sup>: Number of times

The results show that addition of Al improves erasing rate and increases a number of repetitions. When the Al concentration was not higher than a concentration (10%) of the lattice defects, erasing rates exceeded 30dB and the repetition numbers exceeded 100,000 for any of the disks a2, a3, and a4. It was found that optimum values were obtained for C/N, erasing rate and repetition number when the Al concentration matches the concentration Ddf of the lattice defects. High-speed crystallization performance was maintained up to the time that the Al concentration became 1.5 times of the lattice defect concentration. For the disk a5, the repetition number was

increased when compared to a disk including no additives. When the additive concentration is increased excessively, the crystallization velocity is lowered and thus, the erasing rate is decreased and the jitter becomes large. For the disks a7 and a8, the jitter was over 13% from the initial stage. It was observed for these disks having improved repeatability that mass transfer was restrained.

(Example 4)

Various disks were manufactured by determining the composition of the recording film in Example 1 to be  $(\text{GeTe})_x(\text{Sb}_2\text{Te}_3)_{1-x}$ , where the x value was varied in a range from 0 to 1. For every disk,  $D_1$  and  $D_2$  were measured.  $D_1$  denotes a proper range of Al concentration, and  $D_2$  denotes an optimum range among  $D_1$ . The concentration was determined first to be 0.2% and 0.5%, and subsequently, it was increased by 0.5%, i.e., 1%, 1.5%, 2%, 2.5%... The proper range was determined to be a concentration range to provide a repetition number larger than that of a disk including no additives, and the determination was based on the methods described in Examples 2 and 3. The optimum range was a concentration range in which the repetition number was doubled at least when compared to a disk including no additives and a range that a high crystallization velocity was obtainable. Namely, it is a range to allow crystallization by irradiating a laser beam for 150ns at most.

Table 5

Optimum Al addition concentration for  $(\text{GeTe})_x(\text{Sb}_2\text{Te}_3)_{1-x}$

X value	Ddf for NaCl structure	Al concentration within proper range: $D_1$	Al concentration within optimum range: $D_2$	Notes
0	16.7%	---	---	$\text{Sb}_2\text{Te}_3$ itself
0.1	16.1%	$0.2\% \leq D_1 \leq 24.0\%$	$3.0\% \leq D_2 \leq 16.0\%$	
0.2	15.4%	$0.2\% \leq D_1 \leq 23.0\%$	$3.0\% \leq D_2 \leq 15.0\%$	
0.33	14.3%	$0.2\% \leq D_1 \leq 22.0\%$	$3.0\% \leq D_2 \leq 14.0\%$	$\text{GeSb}_2\text{Te}_7$
0.5	12.5%	$0.2\% \leq D_1 \leq 19.5\%$	$2.0\% \leq D_2 \leq 12.5\%$	$\text{GeSb}_2\text{Te}_4$
0.67	10.0%	$0.2\% \leq D_1 \leq 16.0\%$	$1.5\% \leq D_2 \leq 11.0\%$	$\text{Ge}_2\text{Sb}_2\text{Te}_3$
0.8	7.1%	$0.2\% \leq D_1 \leq 11.5\%$	$0.5\% \leq D_2 \leq 8.5\%$	
0.9	4.2%	$0.2\% \leq D_1 \leq 6.5\%$	$0.2\% \leq D_2 \leq 4.5\%$	
0.91	3.8%	$0.2\% \leq D_1 \leq 6.0\%$	$0.2\% \leq D_2 \leq 4.0\%$	
1	0%	---	---	GeTe itself

Table 5 shows the test results. The table includes also calculation results of the concentration Ddf of lattice defects. The lattice defects are formed inevitably in a crystal structure under a hypothetical circumstance that these material thin films form metastable phases of a rock-salt type by laser irradiation. As indicated in the table, the concentration Ddf of the

lattice defects increases when a  $(\text{GeTe})_x(\text{Sb}_2\text{Te}_3)_{1-x}$  quasibinary system composition transfers from the GeTe side to the  $\text{Sb}_2\text{Te}_3$  side. On the other hand, when the proper range of Al amount reaches a range higher than a range for the defect concentration, the range up to about  $1.5 \times \text{Ddf}$  is effective in improving the characteristics.

FIG. 7 is a graph to show the relationships. The solid line denotes Ddf, while  $\bullet$  denotes the upper limit of the proper range and  $\triangle$  denotes the upper limit of the optimum range. The upper limit of the optimum range substantially coincides with the Ddf value while the x value is small and Ddf absolute value is big. However, the upper limit will be bigger than Ddf by about 20% when the x value is increased and Ddf value is decreased. The reason can be estimated as follows. Since a part of the Al additive is modified due to oxidization, nitriding or the like, a percentage for entering the crystal lattices is lowered, and thus, the amount of the additive should be increased.

(Example 5)

Disks of Example 4 were subjected to 10000 times of overwrite-recording of a single frequency signal having a mark length of  $0.3\mu\text{m}$  before a measurement of the CN ratio. Subsequently, the disks were kept in a thermostat at a temperature of  $90^\circ\text{C}$  and humidity of 80%RH for 200 hours and the CN ratio of the same track was measured. The results are shown in Table 6. In the table,  $\odot$  indicates that the initial CN ratio was at least 50dB and a decrease in the CN ratio was at most 1dB even after a 200 hours of acceleration test.  $\circ$  indicates that the initial CN ratio was at least 50dB and a decrease in the CN ratio was at most 3dB after a 100 hours of acceleration test.  $\triangle$  indicates that the initial CN ratio was at least 50dB while the CN ratio was decreased by at least 3dB in the acceleration test.  $\times$  indicates that problems occurred during the initial overwriting of 10000 times, e.g., the CN ratio was decreased.

Table 6

Result of acceleration test of disks based on  $(\text{GeTe})_x(\text{Sb}_2\text{Te}_3)_{(1-x)}$  containing Al

X	0	0.1	0.2	0.33	0.5	0.67	0.8	0.9	0.91	1
Result	$\triangle$	$\triangle$	$\circ$	$\circ$	$\odot$	$\odot$	$\odot$	$\odot$	$\times$	$\times$

(Example 6)

A similar test was carried out by changing the composition of the recording film of Example 4 to  $(\text{GeTe})_x(\text{Bi}_2\text{Te}_3)_{1-x}$ . Similar results were

obtained for the effects caused by the Al addition and the proper concentration.

(Example 7)

A similar test was carried out by changing the composition of the recording film of Example 4 to  $(\text{GeTe})_x(\text{M}_2\text{Te}_3)_{1-x}$  (M: a mixture comprising Sb and Bi at an arbitrary ratio). Similar results were obtained for the effects caused by the Al addition and the proper concentration.

(Example 8)

Disks having films with varied N concentration were prepared by varying partial pressures of Ar gas and  $\text{N}_2$  gas, in which the recording layers were formed by adding 7% Al to  $(\text{GeTe})_{0.8}(\text{Sb}_2\text{Te}_3)_{0.2}$ . The concentration of N in the films was identified by using SIMS. The thus obtained disks were subjected to recording of random signals having a bit length of  $0.26\mu\text{m}$  under a condition that the recording power was 11mW (peak power) / 5mW (bias power) and the linear velocity was 9m/s in order to examine the overwriting characteristics. The evaluation results are shown in Table 7.

Table 7 indicates that addition of N improves recording sensitivity. When excessive N was added, the optical constant was reduced and C/N was lowered. The effects became apparent when 0.5% of N was added, and the preferable amount of N was about 5%.

Table 7

Relationship between N concentration in recording thin film and disk performance

Disks	A	B	C	D	E	F	G	H
N con.	0%	0.1%	0.5%	1%	3%	5%	10%	20%
C/N	51.0dB	51.0dB	52.0dB	52.0dB	52.5dB	52.5dB	49.5dB	45.0dB
Power mW	11.5/5.0 mW	11.4/4.9 mW	11.1/4.6 mW	10.8/4.4 mW	10.5/4.1 mW	10.0/4.0 mW	10.0/4.2 mW	10/4.4 mW

N con.: N concentration

(Example 9)

Various additives other than Al were added to  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  recording films for the purpose of examining the recording performance of the films. Additives were selected from elements having ion radii similar to an ionic radius of Al, i.e., V, S, Si, P, Se, Ge, Mn, Re, Al, Co, Te, Cr, Ni; elements having melting points similar to that of Al, i.e., Sb, Pu, Mg, Al, Ba; and elements of a separate group, i.e., Ag, Pb, and Sn. Each additive of about 5 atom% was added for examining the effects.

Disks were manufactured in accordance with Examples 1 and 3 in order to examine the overwriting repeatability. Even if an element had an ion radius value similar to that of Al, the element often caused phase separation during repetition when the melting point is far from that of Al.

For an element having a melting point similar to that of Al, degradation occurred due to mass transfer as a result of repetition if the ion radius value was far apart from that of Al. When Pb or Sn was added, both the repeatability and crystallization speed were improved, while the crystallization temperature lowered to some degree. When Ag was added, the signal amplitude was improved, and the repetition number was increased slightly. In conclusion, a maximum repetition number was obtained for a disk including an additive having an ion radius and a melting point similar to that of Al.

(Example 10)

Various additives were added to  $\text{Ge}_3\text{Al}_2\text{Te}_5$  recording films for the purpose of examining the recording performance of the films. For the additives, Sn, Pb and Ag were selected, since these elements will form a rock-salt type crystal structure with Te ( $\text{SnTe}$ ,  $\text{PbTe}$ ,  $\text{AgSbTe}_2$ ) in a thermally equilibrium state. Concentrations of the respective elements were 5% and 8.5%. Disks were manufactured in accordance with Examples 1 and 3 for examining the laser crystal portions to find a rock-salt type crystal of a single phase. In an examination on the overwriting repeatability, no mass transfer occurred even after 10000 times of repetition.

FIG. 8A-8F and FIGs. 9A-9E show crystal structures for representative examples in Examples 10 and 11. In the drawings, only some of the structures include lattice defects, which indicates that lattice defects are formed depending on the compositions. Te or Se atoms occupy the 4a sites while the other atoms and lattice defects (vacancy) occupy the 4b sites. The atoms occupy the respective sites at random and the rate is influenced by the composition.

(Example 11)

A recording film was formed in which Sb of Example 4 was replaced by Al. The composition of the recording film was  $(\text{GeTe})_x(\text{Al}_2\text{Te}_3)_{(1-x)}$  ( $x = 0.67, 0.8$ ). The recording film was irradiated with a laser beam so as to obtain a metastable single phase. In an evaluation of the disk performance, overwrite-recording at a linear velocity of 9m/s was achieved. Recording sensitivity was increased by about 10% in disks comprising the composition

together with 3 atom% of Sb or Bi.

(Example 12)

In accordance with Example 1, various (100 kinds) optical disks were manufactured in which the composition is represented by

- 5  $[(\text{Ge} + \text{Sn})_4\text{Sb}_2\text{Te}_7]_{(100-y)}\text{Cr}_y$ . In the composition, x indicates a percentage of Sn in the entire composition and y indicates atom%. The values of x and y were varied in the following range:

x = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%

y = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%.

- 10 A substrate used in this example is a disc-shape polycarbonate resin substrate that is 0.6mm in thickness, 120mm in diameter and 15mm in inner diameter. A spiral groove was formed on substantially the whole surface of the substrate. The track was a concave-convex groove having a depth of 70nm. Both the groove portion and the land portion of the track had a width of 0.615 $\mu\text{m}$ . A
- 15 multilayer film would be formed on the surface. A laser beam for recording/reproducing an information signal can move to an arbitrary position on the disk by a servo signal obtained from the concave-convex shape. On the substrate, the following layers were formed in this order: a ZnS:20 mol%  $\text{SiO}_2$  protective layer 100nm in thickness; a GeN-based interface layer 5nm in thickness; a recording layer 9nm in thickness having the above-identified composition; a GeN interface layer 5nm in thickness; a ZnS:20 mol%  $\text{SiO}_2$  protective layer 40nm in thickness; a Ge-based or Si-based alloy layer 40nm in thickness; and an Ag-based metal reflecting layer 80nm in thickness. The disk characteristics were evaluated on three criteria, i.e., signal volume,
- 25 repetition number, and stability of rewriting sensitivity (after an environmental test at 80°C, 90%RH for 200H). In an evaluation carried out by taking a disk of y = 0 and z = 0 as a standard, the crystallization speed was increased with an increase of Sn concentration, while excessive Sn decreased stability of an amorphous state. When Cr concentration was increased, the
- 30 crystallization speed and signal amplitude were lowered and rewriting sensitivity was lowered due to an environmental test, while the stability of the amorphous state and repetition number were increased. It was confirmed that equivalent or better performance was obtainable for all the three criteria when the Sn concentration was in a range from 3% to 15% and the Cr
- 35 concentration was in a range from 1% to 10%. It was effective especially in improving both the repetition number and the stability of rewiring sensitivity when the Sn concentration was in a range from 5% to 10% and the Cr

concentration was in a range from 1% to 5%.

(Example 13)

In accordance with Example 12, 100 kinds of optical disks were manufactured in which the composition is represented by

- 5 [(Ge + Sn)<sub>4</sub>Sb<sub>2</sub>Te<sub>7</sub>]<sub>(100-z)</sub>Ag<sub>z</sub>. In the composition, x indicates a percentage of Sn in the entire composition and z indicates atom%. The values of x and z were varied in the following range:

x = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%

z = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%.

- 10 The thickness of the respective layers and evaluation criteria are identical to those of Example 12. It was confirmed that crystallization speed was raised with an increase of Sn concentration, but stability of an amorphous state deteriorated when the concentration was increased excessively. It was confirmed also that increase of Ag concentration increased signal size, though  
15 excessive Ag lowered the repeatability.

It was confirmed that equivalent or better performance was obtainable for all the three criteria in a comparison with a case where no additives were included, when the Sn concentration was in a range from 3% to 15% and the Ag concentration was in a range from 1% to 10%. It was  
20 effective especially in improving both the signal amplitude and the stability of rewiring sensitivity when the Sn concentration was in a range from 5% to 10% and the Ag concentration was in a range from 1% to 3%.

(Example 14)

In accordance with Examples 12 and 13, 1000 kinds of optical disks  
25 were manufactured in which the composition is represented by

[(Ge + Sn)<sub>4</sub>Sb<sub>2</sub>Te<sub>7</sub>]<sub>(100-y-z)</sub>Cr<sub>y</sub>Ag<sub>z</sub>. In the composition, x indicates a percentage of Sn in the entire composition and y indicates atom%. The values of x, y and z were varied in the following range:

x = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%

- 30 y = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%

z = 0, 1, 2, 3, 4, 5, 8, 10, 15, 20%.

The thickness of the respective layers and evaluation criteria are identical to those of Examples 12 and 13. It was confirmed that equivalent or better performance was obtainable for all the three criteria when the Sn  
35 concentration was in a range from 3% to 15%, the Cr concentration was in a range from 1% to 5%, and the Ag concentration was in a range from 1% to 10%. It was effective especially in improving signal amplitude, stability of rewiring

sensitivity and repeatability when the Sn concentration was in a range from 5% to 10%, the Cr concentration was in a range from 1% to 3%, and the Ag concentration was in a range from 1% to 3%.

(Example 15)

5 Similar results were obtained in an evaluation in accordance with Examples 12, 13 and 14, where Cr was replaced by Mn.

(Example 16)

10 The tests of Examples 12, 13, 14, and 15 were carried out after replacing the base material by a  $(\text{GeTe})_x(\text{Sb}_2\text{Te}_3)_{(1-x)}$  quasibinary system material ( $0 < x < 1$ ) and a  $\text{GeTe}-\text{Bi}_2\text{Te}_3$  quasibinary system material ( $0 < x < 1$ ), and similar effects were obtained. Particularly, when  $0.5 \leq x \leq 0.9$ , both the repeatability and amorphous stability were obtainable. The Sn concentration was preferably 1/2 or less of the Ge concentration in the base material, since the amorphous phase stability deteriorates when the Sn concentration exceeds the limitation.

(Example 17)

15 On a 0.6mm thick polycarbonate substrate, a  $\text{Ge}_{19}\text{Sn}_{2.1}\text{Sb}_{26.3}\text{Te}_{52.6}$  (atom%) thin film having a thickness of 1 $\mu\text{m}$  was formed by sputtering. The whole surface of the film was irradiated with a laser beam for crystallization, and subsequently, an x-ray diffraction pattern was observed and the structure was analyzed by a Rietveld method (a method to identify by measuring several model substances and comparing the substances with a target substance) and a WPPF (whole-powder-peak-fitting) method. It was confirmed that the film comprised a NaCl type crystalline phase and  
20 amorphous phase, and that there were about 20% of lattice defects at the 4b sites. The above-identified thin film composition can be represented by  $(\text{Ge} + \text{Sn})_2\text{Sb}_{2.5}\text{Te}_5$ , in which about 0.5 mol of the 2.5 mol Sb cannot enter the lattices and the excessive Sb will be deposited as an amorphous component. At that time, the molar ratio (r) of the composition of the amorphous phase to that of  
25 the crystalline phase was about  $0.5/1 = 0.5$ . In a test where the Sb concentration was varied on a basis of the composition, crystallization characteristics were kept experimentally when 'r' was 2.0 or less. When 'r' was 1.0 or less, the crystallization speed would be increased further.

(Example 18)

30 Similar analysis was carried out by varying the composition of recording films in Example 17. Table 8 shows the test results. The right column in the table indicates speed of crystallization caused by laser

irradiation. The mark  $\odot$  indicates that the time for crystallization is 100ns or less.  $\circ$  indicates that the time is 200ns or less,  $\triangle$  denotes that the time is 500ns or less and  $\times$  denotes the time exceeds 500ns. A recording film with a mark  $\circ$  will be applied preferably to recent systems, however, a recording  
5 film with a mark  $\triangle$  also can be applied to the systems. As indicated in the table, all of these compositions include lattice defects inside thereof, and one phase forms a complex phase comprising a NaCl type crystalline phase and an amorphous phase. When a ratio 'r' of the amorphous phase to the crystalline phase in the complex phase is 1 or less, high speed crystallization is available.  
10 Crystallization will be difficult when the ratio 'r' exceeds 2.

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Table 8

Compositions and structures of materials and crystallization performance

No.	Total composition	Structure of complex phase	Lattice defect	$\tau$	Crystallization performance
1	$\text{Ge}_3\text{Sb}_2.5\text{Te}_6$	NaCl type crystalline phase 1 mol + Sb amorphous phase 0.5 mol	16%	0.5	◎
2	$\text{Ge}_3\text{Bi}_2.8\text{Te}_6$	NaCl type crystalline phase 1 mol + Bi amorphous phase 0.8 mol	16%	0.8	◎
3	$\text{GeSb}_2.5\text{Bi}_2\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb+Bi amorphous phase 0.5 mol	28%	0.5	◎
4	$\text{Ge}_3\text{SnBi}_2.7\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb amorphous phase 0.7 mol	16%	0.7	◎
5	$\text{Ge}_2\text{Sb}_2\text{Cr}_0.3\text{Te}_5$	NaCl type crystalline phase 1 mol + Sb amorphous phase 0.3 mol	20%	0.3	◎
6	$\text{GeSb}_2\text{In}_0.2\text{Te}_4$	NaCl type crystalline phase 1 mol + Sb amorphous phase 0.1 mol	25%	0.2	◎
7	$\text{GePb}_0.1\text{Bi}_2\text{Te}_4$	NaCl type crystalline phase 1 mol + Bi amorphous phase 0.1 mol	25%	0.1	◎
8	$\text{GeSb}_2.2\text{Se}_0.1\text{Te}_3.9$	NaCl type crystalline phase 1 mol + Sb amorphous phase 0.2 mol	20%	0.2	◎
9	$\text{Ge}_3.5\text{Sn}_0.01\text{Sb}_3\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb amorphous phase 0.01 mol	16%	0.01	◎
10	$\text{Ge}_3.5\text{Sn}_0.1\text{Sb}_3.5\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb amorphous phase 0.3 mol	16%	0.3	◎
11	$\text{Ge}_3.5\text{Sn}_0.5\text{Sb}_3\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb amorphous phase 1.0 mol	16%	1.0	◎
12	$\text{Ge}_3.5\text{Sn}_0.5\text{Sb}_3.5\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb amorphous phase 1.5 mol	16%	1.5	○
13	$\text{Ge}_3.5\text{Sn}_0.5\text{Sb}_4\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb amorphous phase 2.0 mol	16%	2.0	△
14	$\text{Ge}_3.5\text{Sn}_0.5\text{Sb}_4.5\text{Te}_7$	NaCl type crystalline phase 1 mol + Sb amorphous phase 2.5 mol	16%	2.5	×

(Example 19)

A polycarbonate disk substrate having a diameter of 120mm and thickness of 0.6mm was prepared, and a continuous groove 60nm in depth and 0.6μm in width was formed on the surface. On this disk substrate, a multilayer film comprising the recording films of Nos. 9-18 in Example 18 was formed in a predetermined order by sputtering, a protective plate was adhered by using an ultraviolet curing resin, and subsequently, the recording layers were crystallized by means of laser irradiation. Each multilayer film structure has six layer lamination on a substrate, and the layers are ZnS-SiO<sub>2</sub>: 20 mol% layer 90nm in thickness, a Ge-N layer 5nm in thickness, a recording layer 20nm in thickness, a Ge-N layer 5nm in thickness, a ZnS-SiO<sub>2</sub>: 20 mol% layer 25nm in thickness, and an Al alloy layer 100nm in thickness.

A deck for evaluating the disk characteristics comprises an optical head equipped with a red semiconductor laser having a wavelength of 650nm and an object lens having NA of 0.6. The rotation velocity of each disk was varied to find the linear velocity range where recording and erasing (overwriting) were available. Modulation frequencies (f1 and f2) were selected so that recording marks would be 0.6μm and 2.2μm under any linear velocity conditions, and recording was carried out alternately in order to find repeatability based on the C/N and the erasing rate. In Example 19, the recording portion was the groove. DC erasing was carried out after the recording. The results are shown in Table 9. The linear velocity demonstrated in Table 9 is the upper limit of linear velocity allowing the C/N that has been amorphous-recorded at f1 to exceed 48dB and at the same time, the DC erasing rate (crystallization) of a f1 signal to exceed 25dB.

Table 9 shows that applicable range of linear velocity can be selected continuously in an arbitrary manner in accordance with change of the r value. Under each maximum linear velocity condition, any disks provided excellent repeatability of more than 10000 times.

Table 9

Material composition and limitation of applicable linear velocity

No.	Composition	R	Repetition number	Linear velocity limit
9	Ge <sub>3</sub> Sn <sub>0.01</sub> Sb <sub>3</sub> Te <sub>7</sub>	0.01	>500,000	50.0m/s
10	Ge <sub>3</sub> Sn <sub>0.1</sub> Sb <sub>3.5</sub> Te <sub>7</sub>	0.3	>500,000	30.0m/s
11	Ge <sub>3</sub> Sn <sub>0.5</sub> Sb <sub>3</sub> Te <sub>7</sub>	1.0	300,000	10.0m/s
12	Ge <sub>3</sub> Sn <sub>0.5</sub> Sb <sub>3.5</sub> Te <sub>7</sub>	1.5	100,000	3.0m/s
13	Ge <sub>3</sub> Sn <sub>0.5</sub> Sb <sub>4</sub> Te <sub>7</sub>	2.0	50,000	1.0m/s
14	Ge <sub>3</sub> Sn <sub>0.5</sub> Sb <sub>4.5</sub> Te <sub>7</sub>	2.5	10,000	0.3m/s

(Example 20)

An apparatus as shown in FIG. 10 was assembled. In Example 20, a Si substrate having a nitrided surface was prepared. An electrode of Au having a thickness of 0.1 $\mu$ m was provided on the substrate by sputtering and subsequently, a SiO<sub>2</sub> film having a thickness of 100nm was formed thereon through a metal mask provided with a circular hole 0.5mm in diameter. Next, a (Ge<sub>3</sub>Sn<sub>1</sub>Sb<sub>2</sub>Te<sub>7</sub>)<sub>95</sub>Cr<sub>5</sub> film was formed thereon to have a thickness of 0.5 $\mu$ m, an Au electrode was sputtered to have a thickness of 0.5 $\mu$ m, and the respective electrodes were bonded to Au leads. By applying 500mV voltage between these electrodes for a period of a pulse width of 100ns, the device transformed from a high resistant state to a low resistant state. When this device was charged with current of 100mA for a period of a pulse width of 80ns in the next step, the state of the device was reversed from the low resistant state to a high resistant state.

#### Industrial Applicability

As mentioned above, the present invention provides an optical information recording medium having a recording thin film. The recording medium having a recording thin film exhibits little variation of the recording and reproduction characteristics even after repetition of recording and reproduction, excellent weatherability. The present invention provides also a method of manufacturing the information recording medium. The present invention provides a recording medium having a recording thin film that has strong resistance against composition variation and easily controllable characteristics.

## CLAIMS

1. An information recording medium comprising a substrate and a recording material layer formed on the substrate, the recording material layer undergoing reversible phase change between electrically or optically detectable states by electric energy or by electromagnetic energy, wherein the recording material layer comprises a material selected from a material 'A' having a crystal structure comprising a lattice defect in one phase of the reversible phase change; or a material 'B' in a complex phase composed of a crystal portion comprising a lattice defect and an amorphous portion in one phase of the reversible phase change, and the crystal portion and the amorphous portion comprise a common element; and at least a part of the lattice defect is filled with an element other than an element constituting the crystal structure.
2. The information recording medium according to claim 1, wherein a molar ratio of the amorphous portion to the crystalline portion in the complex phase of the material 'B' is 2.0 at most.
3. The information recording medium according to claim 1, wherein the reversible phase change of the material 'B' occurs between the complex phase and a single phase.
4. The information recording medium according to claim 1, wherein the crystal structure comprising the lattice defect is a NaCl type.
5. The information recording medium according to claim 1, wherein the crystal structure comprising the lattice defect comprises Te or Se.
6. The information recording medium according to claim 1, wherein the amorphous phase portion composing the complex phase of the material 'B' comprises at least one element selected from Sb, Bi, Ge and In.
7. The information recording medium according to claim 1, wherein the crystal structure comprising the lattice defect comprises Ge, Sb and Te.
8. The information recording medium according to claim 1, wherein the

crystal structure comprising the lattice defect comprises at least one element selected from Ge, Sb, Bi and Te, and the amorphous component in the complex phase comprises at least one element selected from Ge, Sb and Bi.

9. The information recording medium according to claim 7, wherein the crystal structure comprising the lattice defect further comprises at least one element selected from Sn, Cr, Mn, Ag, Al, Pb, In and Se.

10. The information recording medium according to claim 9, wherein the crystal structure comprising the lattice defect further comprises at least one combination of elements selected from Sn-Cr, Sn-Mn, Sn-Ag, Mn-Ag, Cr-Ag, Sn-Mn, and Sn-Cr-Ag.

11. The information recording medium according to claim 1, wherein the element to fill at least a part of the lattice defect forms a stoichiometric rock-salt type crystal that is stable with respect to Te.

12. The information recording medium according to claim 1, satisfying a relationship represented by  $0.7 R_{nc} < R_{im} \leq 1.05 R_{nc}$ , where  $R_{im}$  denotes an ionic radius of an element filling at least a part of the lattice defect, and  $R_{nc}$  denotes a minimum value of an ionic radius of an element constituting the crystal structure.

13. The information recording medium according to claim 1, satisfying a relationship represented by  $|T_{im} - T_{nc}| \leq 100^\circ\text{C}$  where  $T_{im}$  denotes a melting point of an element filling at least a part of the lattice defect, and  $T_{nc}$  denotes a melting point of a crystal constituting the crystal structure.

14. The information recording medium according to claim 1, satisfying a relationship represented by  $0.7 R_{nc} < R_{im} \leq 1.05 R_{nc}$  and  $|T_{im} - T_{nc}| \leq 100^\circ\text{C}$ , where  $R_{im}$  denotes an ionic radius of an element filling at least one part of the lattice defect,  $T_{im}$  denotes the melting point,  $R_{nc}$  denotes a minimum value of an ionic radius of an element constituting the crystal structure, and  $T_{nc}$  denotes the melting point.

15. The information recording medium according to claim 1, satisfying a relationship represented by  $Dim \leq Ddf \times 1.5$ , where  $Dim$  denotes a

concentration of an element added to fill the lattice defect, and Ddf denotes a concentration of the lattice defect in the crystal structure.

16. The information recording medium according to claim 15, wherein the Dim satisfies a relationship represented by  $0.2 \leq \text{Dim} \leq \text{Ddf}$ .

17. The information recording medium according to claim 11, wherein the element to fill the lattice defect is at least one element selected from Ag, Sn and Pb.

18. The information recording medium according to claim 11, wherein the crystal structure comprising the lattice defect is at least a group of elements selected from a GeTe-Sb<sub>2</sub>Te<sub>3</sub> quasibinary system composition, a GeTe-Bi<sub>2</sub>Te<sub>3</sub> quasibinary system composition, and a GeTe-Al<sub>2</sub>Te<sub>3</sub> quasibinary system composition.

19. The information recording medium according to claim 18, wherein the element to fill the lattice defect is Al.

20. The information recording medium according to claim 18, wherein the crystal structure comprising the lattice defect comprises (GeTe)<sub>(1-x)</sub>(M<sub>2</sub>Te<sub>3</sub>)<sub>x</sub> where M denotes an element selected from Sb, Bi, Al, and an arbitrary mixture of Sb, Bi, and Al; and x satisfies  $0.2 \leq x \leq 0.9$ .

21. The information recording medium according to claim 20, wherein x satisfies  $0.5 \leq x \leq 0.9$ .

22. The information recording medium according to claim 1, further comprising N in the recording film.

23. The information recording medium according to claim 22, wherein a concentration Dn of the N atom (atom%) is in a range of  $0.5 \leq \text{Dn} \leq 5$ .

24. A method for manufacturing an information recording medium having a recording material layer on a substrate, where reversible phase change between electrically or optically detectable states is caused by electric energy or electromagnetic energy, wherein

the recording layer is formed by using a recording material in which one phase of the reversible phase change comprises a lattice defect, and at least a part of the defect is filled with an additional element.

25. The method for manufacturing an information recording medium according to claim 24, wherein after formation of the recording layer an element comprising the crystal lattice is deposited outside the lattice by the additional element.

26. The method for manufacturing an information recording medium according to claim 24, wherein the recording layer is formed by sputtering, and a sputtering target used in the sputtering comprises an element constituting the crystal structure and the additional element.

27. The method for manufacturing an information recording medium according to claim 26, wherein a gas used in the sputtering comprises at least one gas selected from N<sub>2</sub> gas and O<sub>2</sub> gas.

TOGETHER

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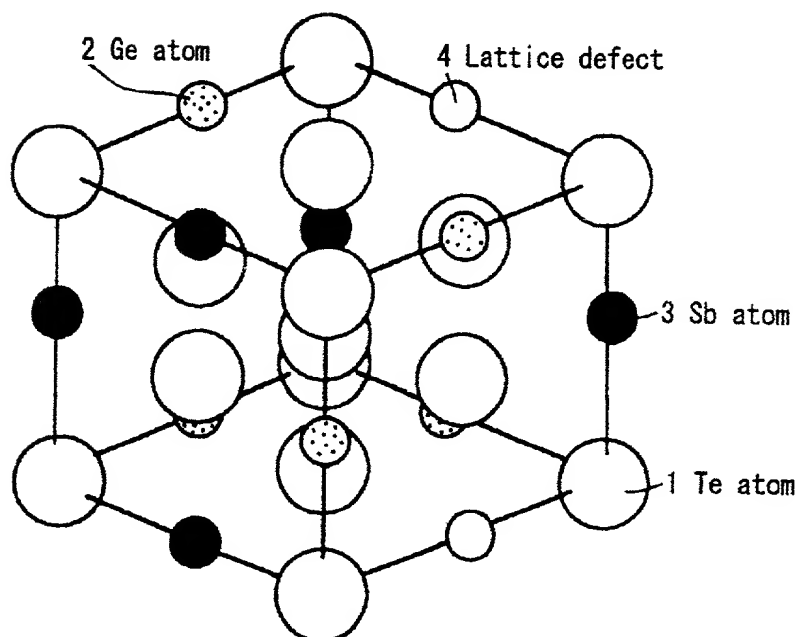


FIG. 1

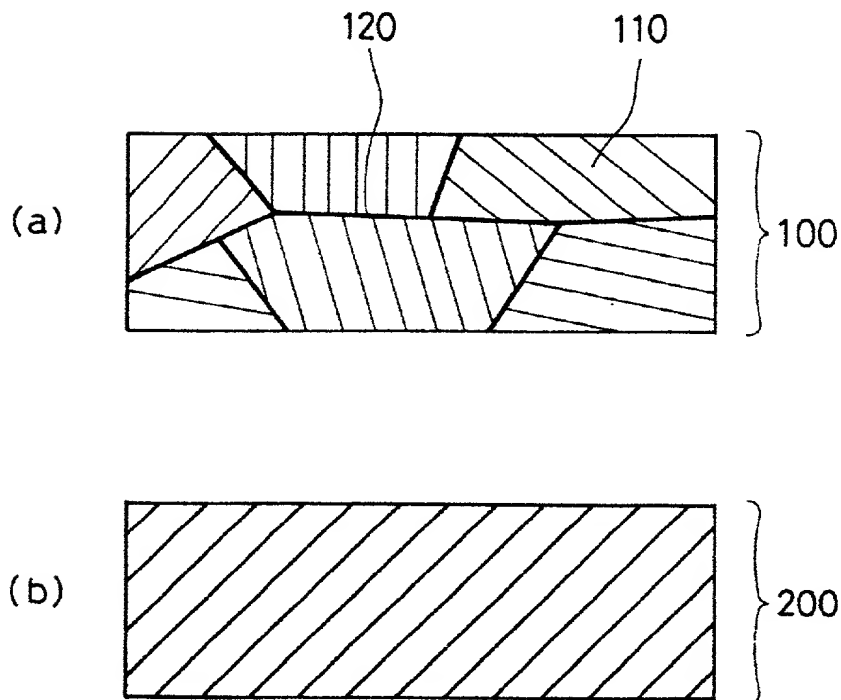


FIG. 2

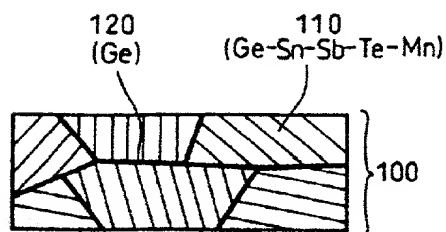


FIG. 3A

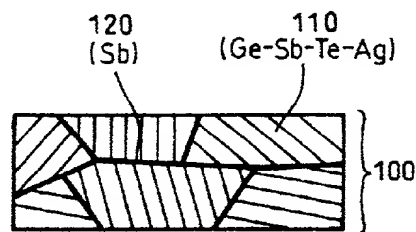


FIG. 3B

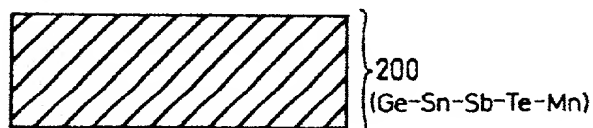
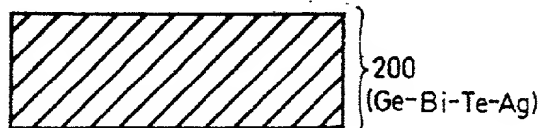
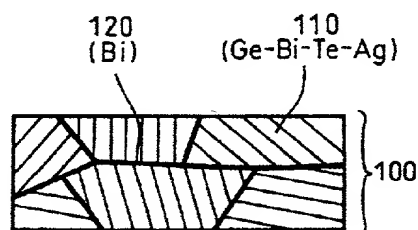
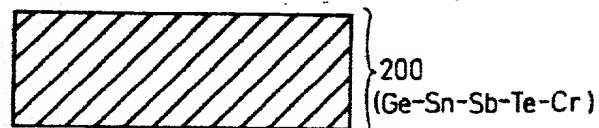
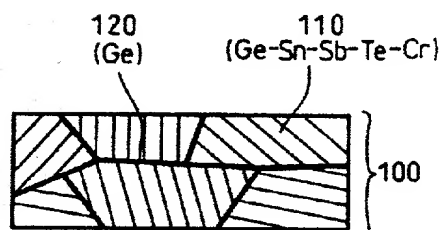


FIG. 3C



FIG. 3D



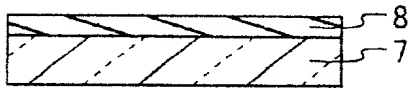


FIG. 4A

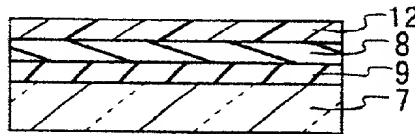


FIG. 4B

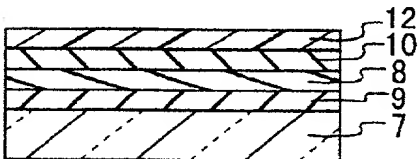


FIG. 4C

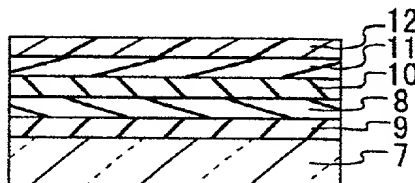


FIG. 4D

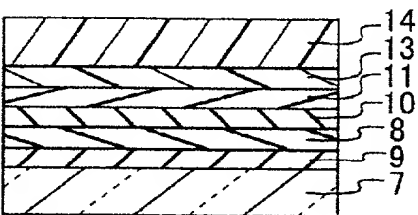


FIG. 4E

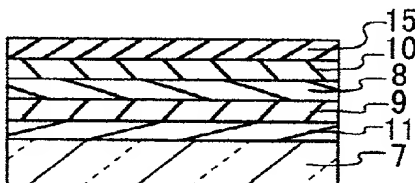


FIG. 4F

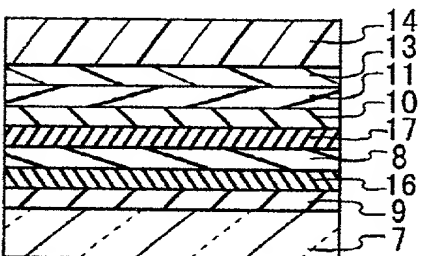


FIG. 4G

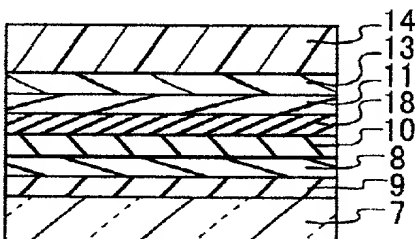


FIG. 4H

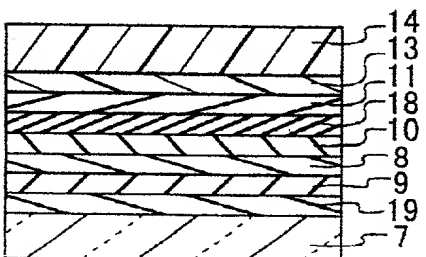


FIG. 4I

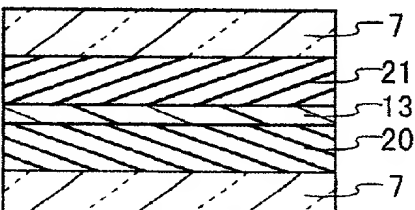


FIG. 4J

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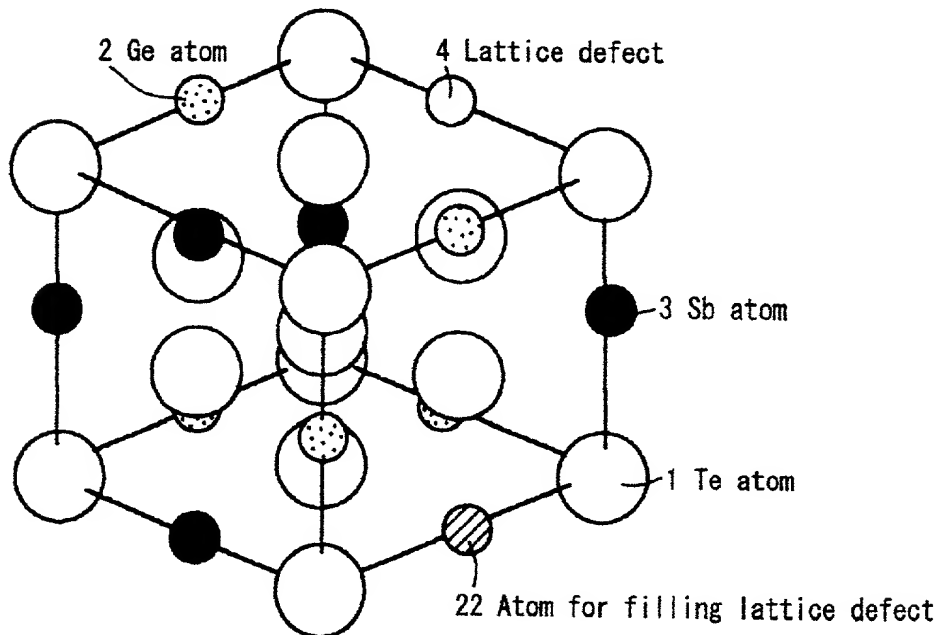


FIG. 5

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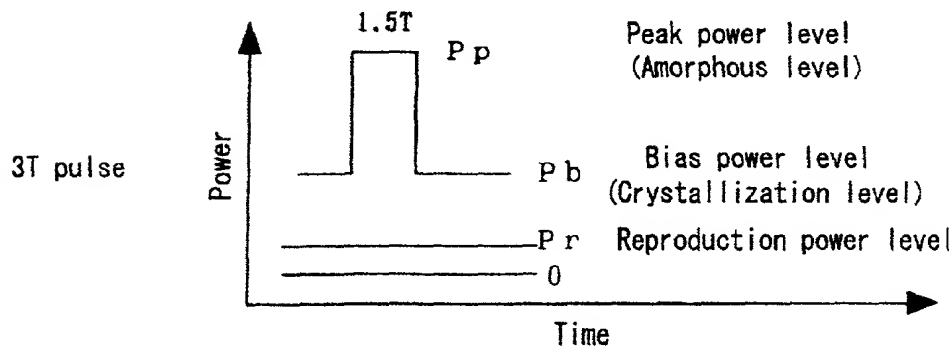


FIG. 6A

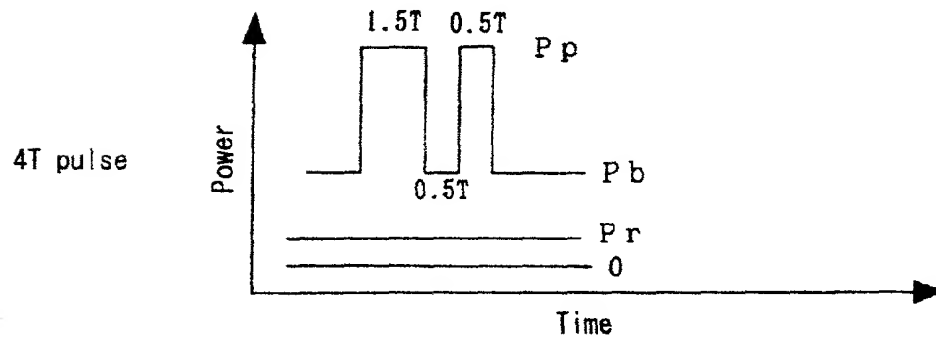


FIG. 6B

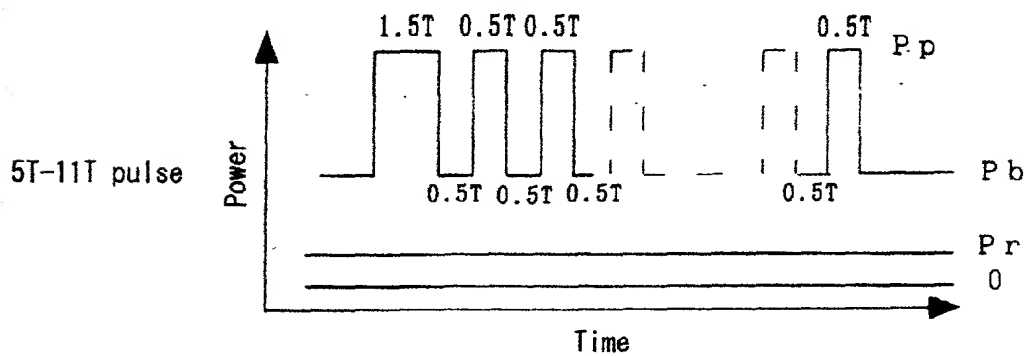


FIG. 6C

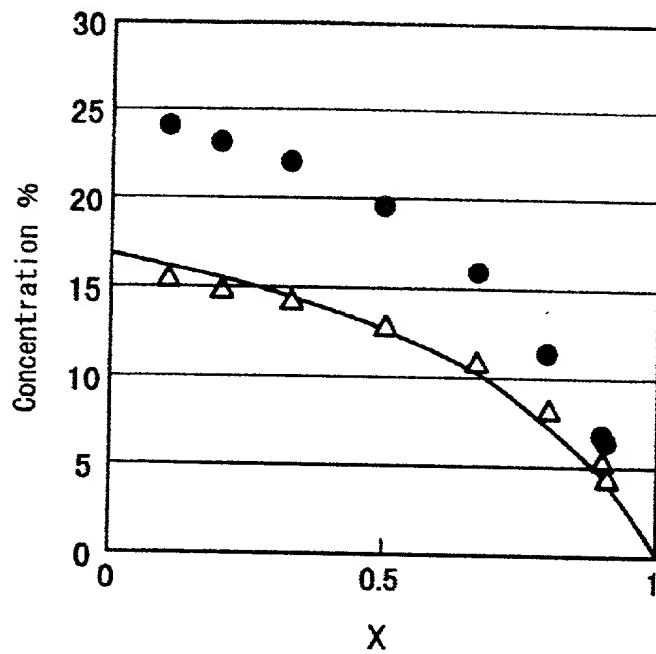


FIG. 7

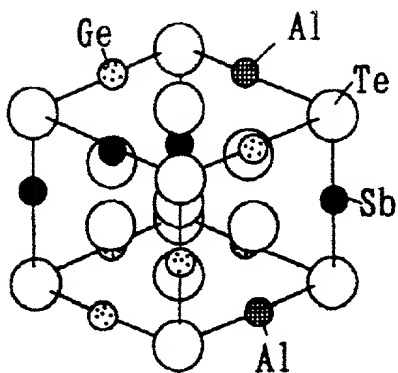


FIG. 8A

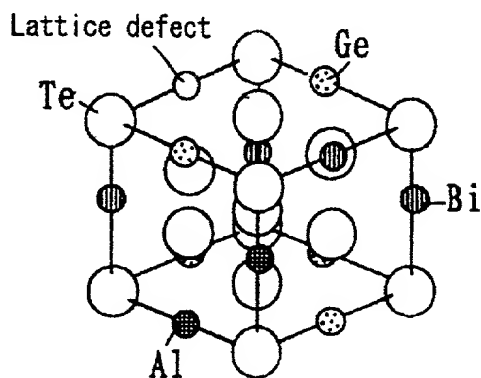


FIG. 8B

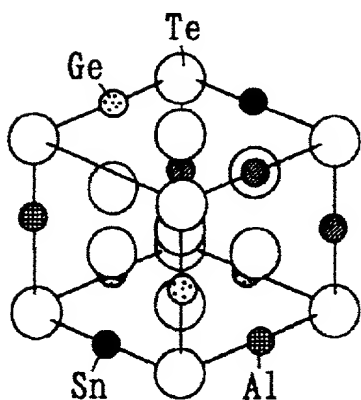


FIG. 8C

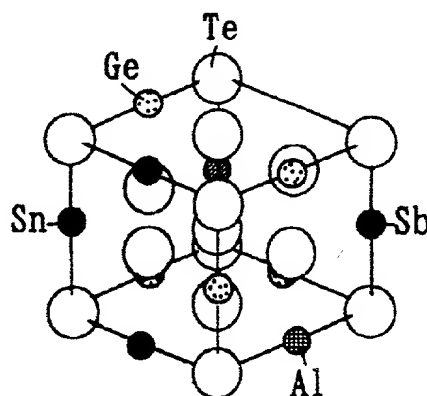


FIG. 8D

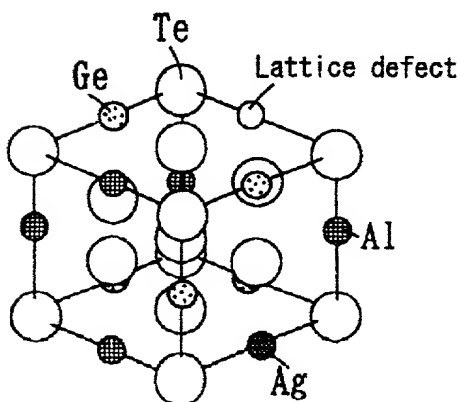


FIG. 8E

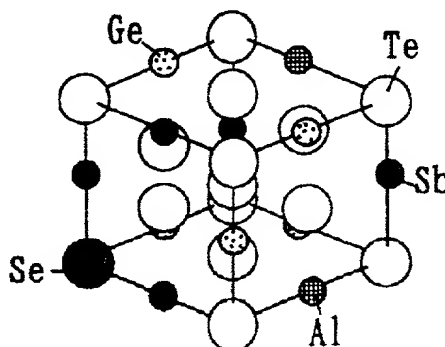


FIG. 8F

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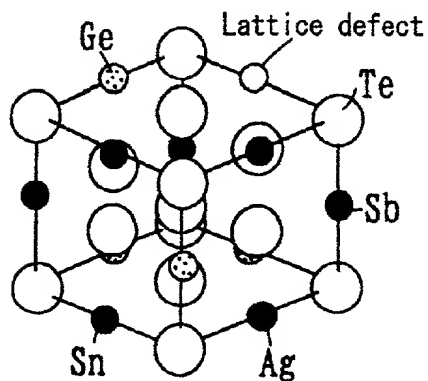


FIG. 9A

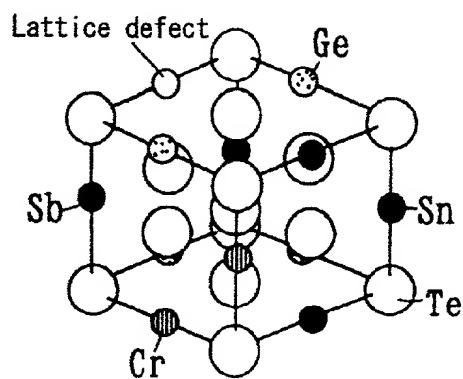


FIG. 9B

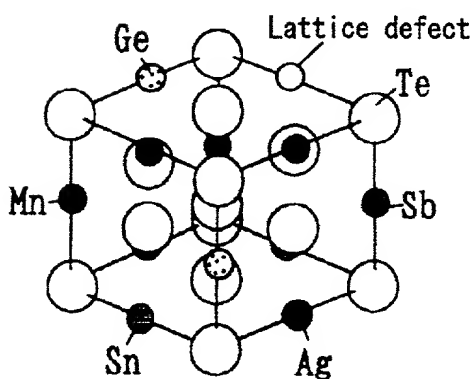


FIG. 9C

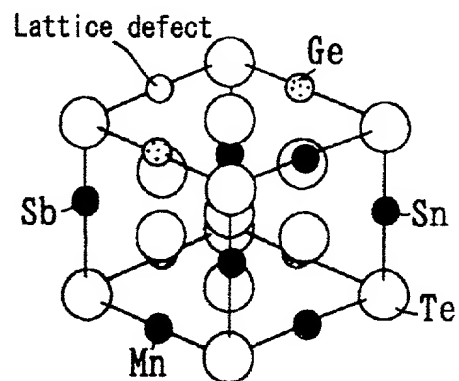


FIG. 9D

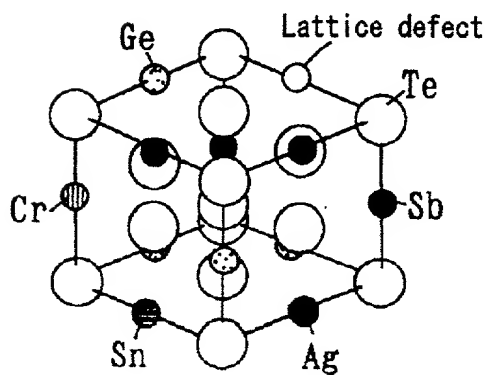


FIG. 9E

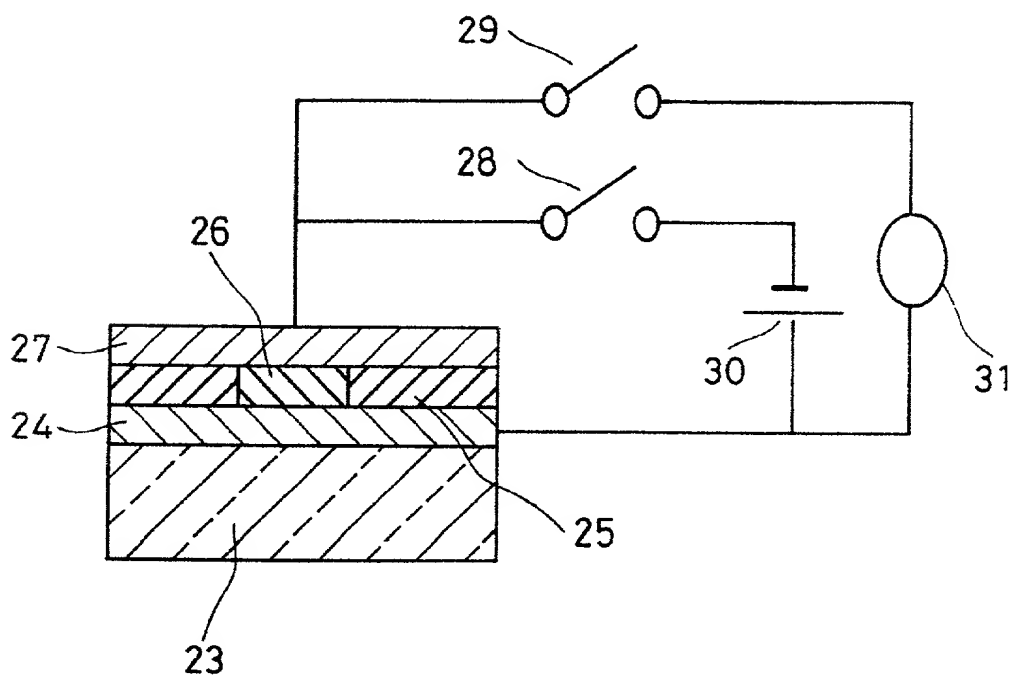


FIG. 10



MERCHANT &amp; GOULD P.C.

## United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING THE SAME

The specification of which

- a. ☐ is attached hereto  
b. ☒ was filed on \_\_\_\_\_ as application serial no. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable) (in the case of a PCT-filed application) described and claimed in international no. PCT/JP00/01489 filed on March 10, 2000 and as amended on \_\_\_\_\_ (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☐ no such applications have been filed.  
b. ☒ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
Japan	11-068146	15 March 1999	
Japan	11-293292	15 October 1999	
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I hereby appoint the following attorney and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Albrecht, John W.	Reg. No. <u>40,481</u>	Kowalchyk, Alan W.	Reg. No. <u>31,535</u>
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Anderson, Gregg I.	Reg. No. <u>28,828</u>	Lacy, Paul E.	Reg. No. <u>38,946</u>
Batzli, Brian H.	Reg. No. <u>32,960</u>	Larson, James A.	Reg. No. <u>40,443</u>
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Black, Bruce E.	Reg. No. <u>41,622</u>	Lycke, Lawrence E.	Reg. No. <u>38,540</u>
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Carlson, Alan G.	Reg. No. <u>25,959</u>	Phillips, Bryan K.	Reg. No. <u>P-46,990</u>
Caspers, Philip P.	Reg. No. <u>33,227</u>	Phillips, John B.	Reg. No. <u>37,206</u>
Chiapetta, James R.	Reg. No. <u>39,634</u>	Plunkett, Theodore	Reg. No. <u>37,209</u>
Clifford, John A.	Reg. No. <u>30,247</u>	Prendergast, Paul	Reg. No. <u>46,068</u>
Daignault, Ronald A.	Reg. No. <u>25,968</u>	Pytel, Melissa J.	Reg. No. <u>41,512</u>
Daley, Dennis R.	Reg. No. <u>34,994</u>	Qualey, Terry	Reg. No. <u>25,148</u>
Dalglish, Leslie E.	Reg. No. <u>40,579</u>	Reich, John C.	Reg. No. <u>37,703</u>
Daulion, Julie R.	Reg. No. <u>36,414</u>	Reiland, Earl D.	Reg. No. <u>25,767</u>
De Vries Smith, Katherine M.	Reg. No. <u>42,157</u>	Schmaltz, David G.	Reg. No. <u>39,828</u>
DiPietro, Mark J.	Reg. No. <u>28,707</u>	Schuman, Mark D.	Reg. No. <u>31,197</u>
Edell, Robert T.	Reg. No. <u>20,187</u>	Schumann, Michael D.	Reg. No. <u>30,422</u>
Epp-Ryan, Sandra	Reg. No. <u>39,667</u>	Scull, Timothy B.	Reg. No. <u>42,137</u>
Glance, Robert J.	Reg. No. <u>40,620</u>	Sebald, Gregory A.	Reg. No. <u>33,280</u>
Goggin, Matthew J.	Reg. No. <u>44,125</u>	Skoog, Mark T.	Reg. No. <u>40,178</u>
Goffa, Charles E.	Reg. No. <u>26,896</u>	Spellman, Steven J.	Reg. No. <u>45,124</u>
Gorman, Alan G.	Reg. No. <u>38,472</u>	Stoll-DeBell, Kirstin L.	Reg. No. <u>43,164</u>
Gould, John D.	Reg. No. <u>18,223</u>	Sumner, John P.	Reg. No. <u>29,114</u>
Gregson, Richard	Reg. No. <u>41,804</u>	Swenson, Erik G.	Reg. No. <u>45,147</u>
Gresens, John J.	Reg. No. <u>33,112</u>	Tellekson, David K.	Reg. No. <u>32,314</u>
Hamer, Samuel A.	Reg. No. <u>P-46,754</u>	Trembath, Jon R.	Reg. No. <u>38,344</u>
Hamre, Curtis B.	Reg. No. <u>29,165</u>	Underhill, Albert L.	Reg. No. <u>27,403</u>
Harrison, Kevin C.	Reg. No. <u>P-46,759</u>	Vandenburg, J. Derek	Reg. No. <u>32,179</u>
Hertzberg, Brett A.	Reg. No. <u>42,660</u>	Wahl, John R.	Reg. No. <u>33,044</u>
Hillson, Randall A.	Reg. No. <u>31,838</u>	Weaver, Karrie G.	Reg. No. <u>43,245</u>
Holzer, Jr., Richard J.	Reg. No. <u>42,668</u>	Welter, Paul A.	Reg. No. <u>20,890</u>
Johnston, Scott W.	Reg. No. <u>39,721</u>	Whipps, Brian	Reg. No. <u>43,261</u>
Kadievitch, Natalie D.	Reg. No. <u>34,196</u>	Wickhem, J. Scot	Reg. No. <u>41,376</u>
Karjeker, Shaukat	Reg. No. <u>34,049</u>	Williams, Douglas J.	Reg. No. <u>27,054</u>
Kastelic, Joseph M.	Reg. No. <u>37,160</u>	Witt, Jonelle	Reg. No. <u>41,980</u>
Kettelberger, Denise	Reg. No. <u>33,924</u>	Wu, Tong	Reg. No. <u>43,361</u>
Keys, Jeramie J.	Reg. No. <u>42,724</u>	Xu, Min S.	Reg. No. <u>39,536</u>
Knearl, Homer L.	Reg. No. <u>21,197</u>	Zeuli, Anthony R.	Reg. No. <u>45,255</u>

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould P.C. to the contrary.

Please direct all correspondence in this case to Merchant & Gould P.C. at the address indicated below:

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name YAMADA	First Given Name Noboru	Second Given Name
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Signature of Inventor 201:			Date: September 17, 2001	
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0	Residence & Citizenship	City Osaka	State or Foreign Country Japan	Country of Citizenship Japan JPX
2	Post Office Address	Post Office Address Room 402, Gureisuhaimu-Jda, 35-7, Suehiro-cho, Kadoma-shi		State & Zip Code/Country Osaka 571-0030/JAPAN
Signature of Inventor 202:			Date: September 17, 2001	
2	Full Name Of Inventor	Family Name MATSUNAGA	First Given Name Toshiyuki	Second Given Name
0	Residence & Citizenship	City Osaka	State or Foreign Country Japan	Country of Citizenship Japan JPX
3	Post Office Address	Post Office Address 28-25-524, Ohashi-cho, Kadoma-shi		State & Zip Code/Country Osaka 571-0077/JAPAN
Signature of Inventor 203:			Date: September 17, 2001	
2	Full Name Of Inventor	Family Name KAWAHARA	First Given Name Katsumi	Second Given Name
0	Residence & Citizenship	City Osaka	State or Foreign Country Japan	Country of Citizenship Japan JPX
4	Post Office Address	Post Office Address 21-31, Ishihara-cho, Kadoma-shi		State & Zip Code/Country Osaka 571-0067/JAPAN
Signature of Inventor 204:			Date: September 17, 2001	

**§ 1.56 Duty to disclose information material to patentability.**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

## United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING THE SAME

The specification of which

- a. ☐ is attached hereto  
b. ☒ was filed on March 10, 2000 as application serial no. PCT/JP00/01489 and was amended on (if applicable) (in the case of a PCT-filed application) described and claimed in international no. filed and as amended on (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☐ no such applications have been filed.  
b. ☒ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
Japan	11-068146	15 March 1999	
Japan	11-293292	15 October 1999	
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I acknowledge the duty to disclose information that is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (reprinted below):

**§ 1.56 Duty to disclose information material to patentability.**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

(e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Albrecht, John W.	Reg. No. 40,481	Larson, James A.	Reg. No. 40,443
Ali, M. Jeffer	Reg. No. 46,359	Leonard, Christopher J.	Reg. No. 41,940
Altera, Allan G.	Reg. No. 40,274	Liepa, Mara E.	Reg. No. 40,066
Anderson, Gregg I.	Reg. No. 28,828	Lindquist, Timothy A.	Reg. No. 40,701
Batzli, Brian H.	Reg. No. 32,960	Lown, Jean A.	Reg. No. P-48,428
Beard, John L.	Reg. No. 27,612	Mayfield, Denise L.	Reg. No. 33,732
Berns, John M.	Reg. No. 43,496	McDonald, Daniel W.	Reg. No. 32,044
Branch, John W.	Reg. No. 41,633	McIntyre, Jr., William F.	Reg. No. 44,921
Bremer, Dennis C.	Reg. No. 40,528	Mitchem, M. Todd	Reg. No. 40,731
Brown, Jeffrey C.	Reg. No. 41,643	Mueller, Douglas P.	Reg. No. 30,300
Bruess, Steven C.	Reg. No. 34,130	Nelson, Anna M.	Reg. No. P-48,935
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Campbell, Keith	Reg. No. 46,597	Pauly, Daniel M.	Reg. No. 40,123
Carlson, Alan G.	Reg. No. 25,959	Phillips, John B.	Reg. No. 37,206
Caspers, Philip P.	Reg. No. 33,227	Pino, Mark J.	Reg. No. 43,858
Clifford, John A.	Reg. No. 30,247	Prendergast, Paul	Reg. No. 46,068
Cook, Jeffrey	Reg. No. P-48,649	Pytel, Melissa J.	Reg. No. 41,512
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Daulton, Julie R.	Reg. No. 36,414	Roberts, Fred	Reg. No. 34,707
DeVries Smith, Katherine M.	Reg. No. 42,157	Samuels, Lisa A.	Reg. No. 43,080
DiPietro, Mark J.	Reg. No. 28,707	Schmaltz, David G.	Reg. No. 39,828
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Edell, Robert T.	Reg. No. 20,187	Schumann, Michael D.	Reg. No. 30,422
Epp, Ryan, Sandra	Reg. No. 39,667	Scull, Timothy B.	Reg. No. 42,137
Glance, Robert J.	Reg. No. 40,620	Sebald, Gregory A.	Reg. No. 33,280
Goggin, Matthew J.	Reg. No. 44,125	Skoog, Mark T.	Reg. No. 40,178
Goffa, Charles E.	Reg. No. 26,896	Spellman, Steven J.	Reg. No. 45,124
Gorman, Alan G.	Reg. No. 38,472	Stoll-DeBell, Kirstin L.	Reg. No. 43,164
Gould, John D.	Reg. No. 18,223	Sullivan, Timothy	Reg. No. 47,981
Gregson, Richard	Reg. No. 41,804	Sumner, John P.	Reg. No. 29,114
Gressens, John J.	Reg. No. 33,112	Swenson, Erik G.	Reg. No. 45,147
Hammer, Samuel A.	Reg. No. 46,754	Tellekson, David K.	Reg. No. 32,314
Hamre, Curtis B.	Reg. No. 29,165	Trembath, Jon R.	Reg. No. 38,344
Harrison, Kevin C.	Reg. No. 46,759	Tunheim, Marcia A.	Reg. No. 42,189
Hertzberg, Brett A.	Reg. No. 42,660	Underhill, Albert L.	Reg. No. 27,403
Hillson, Randall A.	Reg. No. 31,838	Vandenburgh, J. Derek	Reg. No. 32,179
Holzer, Jr., Richard J.	Reg. No. 42,668	Wahl, John R.	Reg. No. 33,044
Hope, Leonard J.	Reg. No. 44,774	Weaver, Paul L.	Reg. No. P-48,640
Jardine, John S.	Reg. No. P-48,835	Welter, Paul A.	Reg. No. 20,890
Johnston, Scott W.	Reg. No. 39,721	Whipps, Brian	Reg. No. 43,261
Kadievitch, Natalie D.	Reg. No. 34,196	Whitaker, John E.	Reg. No. 42,222
Kaseburg, Frederick A.	Reg. No. 47,695	Wier, David D.	Reg. No. P-48,229
Kettelberger, Denise	Reg. No. 33,924	Williams, Douglas J.	Reg. No. 27,054
Keys, Jeramie J.	Reg. No. 42,724	Withers, James D.	Reg. No. 40,376
Knearl, Homer L.	Reg. No. 21,197	Witt, Jonelle	Reg. No. 41,980
Kowalchuk, Alan W.	Reg. No. 31,535	Wu, Tong	Reg. No. 43,361
Kowalchuk, Katherine M.	Reg. No. 36,848	Young, Thomas	Reg. No. 25,796
Lacy, Paul E.	Reg. No. 38,946	Zeuli, Anthony R.	Reg. No. 45,255

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould P.C. to the contrary.

I understand that the execution of this document, and the grant of a power of attorney, does not in itself establish an attorney-client relationship between the undersigned and the law firm Merchant & Gould P.C., or any of its attorneys.

Please direct all correspondence in this case to Merchant & Gould P.C. at the address indicated below:

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903



**23552**

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	<b>Full Name Of Inventor</b>	<b>Family Name</b> Yamada	<b>First Given Name</b> Noboru	<b>Second Given Name</b>
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<b>Signature of Inventor 202:</b>			<b>Date:</b>	
2	<b>Full Name Of Inventor</b>	<b>Family Name</b> Matsunaga	<b>First Given Name</b> Toshiyuki	<b>Second Given Name</b>
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<b>Signature of Inventor 204:</b>			<b>Date:</b>	